

CASES AND MATERIALS ON  
FEDERAL  
CONSTITUTIONAL LAW

*Volume IV*

*Federalism Limitations on  
State and Federal Power*



# CASES AND MATERIALS ON FEDERAL CONSTITUTIONAL LAW

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*Volume IV*  
*Federalism Limitations on*  
*State and Federal Power*

SECOND EDITION

Scott W. Gaylord  
Christopher R. Green  
Lee J. Strang



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By now you have realized that the course materials assigned by your instructor have a very different form than traditional casebooks. The *Modular Casebook Series* is intentionally designed to break the mold. Course materials consist of one or more separate volumes selected from among a larger and growing set of volumes. Each volume is relatively short so that an instructor may “mix and match” a suitable number of volumes for a course of varying length and focus.

Each volume is designed to serve an instructional purpose rather than as a treatise; as a result, the *Modular Casebook Series* is published in soft cover. Publication of the separate volumes in soft cover also permits course materials to be revised more easily so that they will incorporate recent developments. Moreover, by purchasing only the assigned volumes for a given course, students are likely to recognize significant savings over the cost of a traditional casebook.

Traditional casebooks are often massive tomes, frequently exceeding 1000 or even 1500 pages. Traditional casebooks are lengthy because they attempt to cover the entire breadth of material that *might* be useful to an instructor for a two-semester course of five or six credits. Even with six credits, different instructors will cover different portions of a traditional casebook within the time available. As a consequence, traditional casebooks include a range of materials that may leave hundreds of unexplored pages in any particular six-credit class. Especially for a student in a three or four credit course, such a book is hardly an efficient means of delivering the needed materials. Students purchase much more book than they need, at great expense. And students carry large, heavy books for months at a time.

Traditional casebooks are usually hard cover publications. It seems as though they are constructed to last as a reference work throughout decades of practice. In fact, as the presence of annual supplements to casebooks makes clear, portions of casebooks become obsolete very shortly after publication. Treatises and hornbooks are designed to serve as reference works; casebooks serve a different purpose. Once again, the traditional format of casebooks seems to impose significant added costs on students for little reason.

The form of traditional casebooks increases the probability that the content will become obsolete shortly after publication. The publication of lengthy texts in hard-cover produces substantial delay between the time the author completes the final

draft and the time the book reaches the hands of students. In addition, the broader scope of material addressed in a 1,000 or 1,500 page text means that portions of the text are more likely to be superseded by later developments than any particular narrowly-tailored volume in the *Modular Casebook Series*. Because individual volumes in the *Modular Casebook Series* may be revised without requiring revision of other volumes, the materials for any particular course will be less likely to require supplementation.

Most importantly, the cases and accompanying exercises provide students with the opportunity to learn and deploy the standard arguments in the various subject matters of constitutional law. Each case is edited to emphasize the key arguments made by the Court and justices. For instance, in many older cases, headings were added to note a new or related argument. Furthermore, the exercises following each case focus on identifying and critiquing the Court's and justices' arguments. The exercises also form the basis for rich class discussion. All of this introduces students to the most important facet of constitutional law: the deployment of standard arguments in each doctrinal context and across constitutional law doctrines.

We hope you enjoy this innovative approach to course materials.

# *Dedication*

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To Pamela and our family and to all the families who serve and  
sacrifice to protect the Constitution.

S.W.G.

To Bonnie and Justice John Marshall Harlan I.

C.R.G.

To Elizabeth and Saint Thomas Aquinas.

L.J.S.





# *Acknowledgments*

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We would like to thank Tom Odom for proposing and initiating the Modular Casebook Series, and for inviting us to participate in the Series, and, for research leave and support, to the Elon University School of Law, the Jamie Lloyd Whitten Chair of Law and Government Endowment at the University of Mississippi School of Law, and the University of Toledo College of Law. The hard work and excellent research of Paul Cordell is reflected in this Volume. Without our wives' loving support, this project would not have been completed.



# *Preface to the Second Edition*

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Technological improvements permit the compilation of resources in a manner unthinkable when we were law students. Materials that permit further examination of assigned reading can be delivered in a cost-effective manner and in a format more likely to be useful in practice than reams of photocopies.

With regard to assigned reading, there is no good reason to burden students with stacks of hand-outs or expensive annual supplements. Publication through the *Modular Casebook Series* ensures that even very recent developments may be incorporated prior to publication. Moreover, if important cases are decided after publication of the latest edition of the volume, they will be included on the series website. Cases and materials that shed additional light on matter in the hard copy casebook are also included.

We welcome comments from readers so that we may make further improvements in the next edition of this publication.

Scott W. Gaylord

Christopher R. Green

Lee J. Strang



# *TECHNICAL NOTE FROM THE EDITORS*

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The cases and other materials excerpted in this Volume have been edited in an effort to enhance readability. Citations of multiple cases for a single proposition have been shortened in many places to reference only one or two prominent authorities. In some places, archaic language or spelling has been revised. Headings were added to some of the longer decisions to permit ease of reference to various parts of the opinion. Such headings may also assist the reader in identifying a transition from one point to another.

Cases have been edited to a suitable length. In order to achieve that result, many interesting but tangential points have been omitted. The length of some opinions also hindered the inclusion of excerpts from concurring or dissenting opinions. We have noted in the text where such opinions have been omitted.

In editing these cases, we have not indicated the portions of cases that have been deleted unless such deletion with the absence of ellipses would have been misleading. However, any time material has been inserted into a case, we indicated the insertion with the use of brackets.

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# *Introduction to Volume 4*

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The term “*Federalism*” refers to the collection of doctrines that govern the relationship between the central government and the several states. This Volume addresses constitutional limitations that Federalism imposes upon states and the central government. In particular, it explains four of the limits on state and federal power derived from the original Constitution, that is, the Constitution prior to the Reconstruction Amendments (which are addressed in Volume 5).

## **A. Express Constitutional Limitations Upon States**

### **1. Limitations to Ensure Exclusive Federal Authority**

The Constitution of 1789 contained several express limitations upon states. In several instances, an affirmative grant of authority to the central government is joined with a prohibition on the individual states exercising any parallel authority. As a result, the Constitution directly vests exclusive authority over these matters in the central government.

For example, the treaty power is affirmatively vested in the central government. Under Article II, § 2, cl. 2, the President has the “Power, by and with the Advice and Consent of the Senate, to make Treaties, provided two thirds of the Senators present concur; and he shall nominate, and by and with the Advice and Consent of the Senate, shall appoint Ambassadors, other public Ministers and Consuls. . . .” Under Article I, § 10, “No State shall enter into any Treaty, Alliance, or Confederation.” Even in the absence of action by the central government, states are constitutionally prohibited from pursuing opportunities to establish treaties with foreign nations.

Similarly, Congress not only is granted authority to declare war, but also is expressly granted the authority to issue Letters of Marque and Reprisal in Article I, § 8, cl. 11. Because authorizing such action likely would constitute an act of war, Article I, § 10 precludes states from issuing such letters: “No State shall . . . grant Letters of Marque and Reprisal.”

So too, Article I, § 10, provides that states may not “coin Money” while Congress is granted the power “To coin Money, regulate the Value thereof, and foreign Coin, and fix the Standard of Weights and Measures” in Article I, § 8, cl. 5.

These limitations upon state authority provoke little controversy.

### **2. Limitations on States Parallel to Limitations on the Central Government**

The Constitution of 1789 contains several other express limitations on states that parallel prohibitions on the central government. For example, both the states

and the central government are prohibited from (1) enacting any Bill of Attainder, (2) promulgating any *ex post facto* law, and (3) “grant[ing] any Title of Nobility.” Compare Art. I, §9, cls. 3 & 8, with Art. I, §10, cl. 1. Because these provisions are more appropriately viewed as preserving individual rights (protecting individuals from state and federal action), they are beyond the scope of this Volume.

### 3. Unique Limitations on States

The Constitution of 1789 includes only a few express limitations on state autonomy that have no parallel provision that either grants exclusive authority to the central government or that equally prohibits action by the central government. One such provision is the requirement that states may not “pass any . . . Law impairing the Obligation of Contracts.” Art. I, §10, cl. 1. The Contracts Clause is the subject of **Chapter 1**.

## B. Implied Constitutional Limitations Upon States

Even when the Constitution of 1789 does not expressly limit the several states, there are limited situations where the grant of power to the central government necessarily implies a denial to the several states of any parallel authority.

### 1. Express Grants of Exclusive Authority to the Central Government

The grant of “exclusive” authority over the District of Columbia and federal enclaves, *see* Art. I, §8, cl. 17, necessarily implies that the several states cannot exercise any competing authority. For these provisions to apply, however, the appropriate state must cede jurisdiction over the property; the central government’s acquisition of the property, either by purchase or eminent domain, does not itself confer exclusive jurisdiction. *See, e.g., Kohl v. United States*, 91 U.S. 367, 371 (1875). Without a cessation of jurisdiction, the United States holds property within a state as “an ordinary proprietor.” *James v. Dravo Contracting Co.*, 302 U.S. 134, 141–42 (1937).

Even grants of authority to Congress to establish “uniform” laws or to grant “exclusive” rights may not imply a prohibition on the several states. For example, Congress is granted authority to “establish . . . uniform Laws on the subject of Bankruptcies throughout the United States,” *see* Art. I, §8, cl. 4, and one might reason that a uniform law necessarily displaces the separate non-uniform laws of the several states. In the absence of congressional *exercise* of this power, though, does the mere *existence* of the power serve to divest states of the authority to establish laws on the subject that vary from state to state? In a relatively early decision, the Supreme Court answered that question in the negative:

Congress is not authorized merely to pass laws, the operation of which shall be uniform, but to establish uniform laws on the subject throughout the United States. This establishment of uniformity is, perhaps, incompatible with state legislation, on that part of the subject to which the acts of Congress may extend.

It does not appear to be a violent construction of the Constitution, and is certainly a convenient one, to consider the power of the States as existing

over such cases as the laws of the Union may not reach. But be this as it may, the power granted to Congress may be exercised or declined, as the wisdom of that body shall decide. If, in the opinion of Congress, uniform laws concerning bankruptcies ought not to be established, it does not follow, that partial laws may not exist, or that State legislation on the subject must cease. It is not the mere existence of the power, but its exercise, which is incompatible with the exercise of the same power by the States. It is not the right to establish these uniform laws, but their actual establishment, which is inconsistent with the partial acts of the States.

*Sturges v. Crowninshield*, 17 U.S. (4 Wheat.) 120, 193-94 (1819) (Marshall, C.J.).

A similar situation presented itself in the immigration context. Because Congress did not enact the first statute broadly regulating immigration until 1875, Act of Mar. 3, 1875, ch. 141, 18 Stat. 477; see *INS v. St. Cyr*, 533 U.S. 289, 305 (2001), prior to that federal statute there was no basis for preemption, leaving state laws to be challenged solely on whether the subject was vested exclusively in the central government.

From the earliest days under the Constitution, various states enacted limitations on immigration of criminals, see Gerald L. Neuman, *The Lost Century of American Immigration Law (1776–1875)*, 93 COLUM. L. REV. 1833, 1843 (1993), the destitute, see *id.* at 1848–59, and individuals infected with contagious diseases—a basis for “State authority . . . [which] had long enjoyed the unequivocal endorsement of the federal government.” *Id.* at 1864. As Professor Neuman observed, initially, a majority of the Supreme Court endorsed the concurrent authority of states. See, e.g., *Gibbons v. Ogden*, 22 U.S. (9 Wheat.) 1, 203–06 (1824) (Marshall, C.J.) (expressly endorsing state quarantine and health laws in the absence of actual conflict with federal law); *Mayor of New York v. Miln*, 36 U.S. (11 Pet.) 102, 142–43 (1837) (approving state laws addressed to “paupers, vagabonds, and possibly convicts” in addition to individuals “laboring under an infectious disease”); *Prigg v. Pennsylvania*, 41 U.S. 16 Pet.) 539, 625 (1842) (recognizing state authority to exclude “runaway slaves” as well as “idlers, vagabonds, and paupers”); *Moore v. Illinois*, 55 U.S. (14 How.) 13, 18 (1853) (endorsing state authority to criminalize the introduction of “paupers, criminals, or fugitive slaves”). In addition to support for that view from the federal judiciary, Congress enacted laws and the Executive engaged in diplomatic efforts designed to reinforce state regulation. See Neuman, *supra*, at 1883. Only after the Civil War did the U.S. Supreme Court assert that “[t]he authority to control immigration—to admit or exclude aliens—is vested solely in the Federal Government,” *Truax v. Raich*, 239 U.S. 33, 42 (1915), beginning its retreat with cases like *Henderson v. Mayor of New York*, 92 U.S. 259 (1876) and *Chy Lung v. Freeman*, 92 U.S. 275 (1876).

In light of the breadth of federal legislation in the fields of bankruptcy and immigration, as well as the resulting preemption, the question whether the Constitution prohibits states from exercising concurrent authority in those areas is of diminished importance.

## 2. The Supremacy of Federal Law

When the central government acts pursuant to its own constitutional authority, the Supremacy Clause dictates that the central government may displace state law. Such “preemption” of state law is addressed in **Chapter 2**.

### C. Implied Grant of Exclusive Authority to the Central Government

The text of the Commerce Clause does not purport to grant exclusive authority to the central government. Not only is there no explicit prohibition on concurrent state regulation of commerce, certain specific prohibitions upon states would become superfluous if the Clause were so construed. U.S. CONST., Art. I, § 10, cl. 2. Despite early precedent to the contrary, the U.S. Supreme Court eventually articulated the doctrine of the “dormant” Commerce Clause. Under that view, certain aspects of the regulation of commerce are vested exclusively in the central government so that even when Congress does not affirmatively legislate, states nonetheless lack the concurrent authority to regulate. The dormant Commerce Clause is the subject of **Chapter 3**.

### D. Federalism Limits on the Central Government

The federal structure established by the Constitution also limits the central government. The most important aspect of federalism limits on the federal government is the doctrine of limited and enumerated powers. The Tenth Amendment is the focus of debates over the scope of that doctrine and is the subject of **Chapter 4**. The Court has been sharply divided over the nature and purpose of the Tenth Amendment. In recent cases, such as *Bond v. United States*, 134 S.Ct. 2077 (2014) and *Shelby County v. Holder*, 133 S.Ct. 2612 (2013), a majority of the Court has invoked Federalism as a robust rule of construction to limit the scope of federal statutes without ostensibly restricting Congress’s enumerated powers.

Federalism limits on the central government have manifested in other areas of case law as well. Most prominently, the Supreme Court reinvigorated limits on Congress’ Commerce Clause authority in *United States v. Lopez*, 514 U.S. 549 (1995), *United States v. Morrison*, 529 U.S. 598 (2000), and *NFIB v. Sebelius*, 567 U.S. 519 (2012). The Court also restricted the scope of Congress’ Section 5 powers in *Boerne v. Flores*, 521 U.S. 57 (1997), and, in a related line of cases beginning with *Seminal Tribe v. Florida*, 517 U.S. 44 (1996), expanded the scope of state sovereign immunity from suit. These limits are discussed in Volume 3.

# The Constitution of the United States

We the People of the United States, in order to form a more perfect nion, establish Justice, insure domestic Tranquility, provide for the common defence, promote the general Welfare, and secure the Blessings of Liberty to ourselves and our Posterity, do ordain and establish this Constitution for the United States of America.

## Article I

**Section 1.** All legislative Powers herein granted shall be vested in a Congress of the United States, which shall consist of a Senate and House of Representatives.

**Section 2.** The House of Representatives shall be composed of Members chosen every second Year by the People of the several States, and the Electors in each State shall have the Qualifications requisite for Electors of the most numerous Branch of the State Legislature.

No person shall be a Representative who shall not have attained to the Age of twenty five Years, and been seven Years a Citizen of the United States, and who shall not, when elected, be an Inhabitant of that State in which he shall be chosen.

Representatives and direct Taxes shall be apportioned among the several States which may be included within this Union, according to their respective Numbers, which shall be determined by adding to the whole Number of free Persons, including those bound to Service for a Term of Years, and excluding Indians not taxed, three fifths of all other Persons. The actual Enumeration shall be made within three Years after the first Meeting of the Congress of the United States, and within every subsequent Term of ten Years, in such Manner as they shall by Law direct. The Number of Representatives shall not exceed one for every thirty Thousand, but each State shall have at Least one Representative; and until such enumeration shall be made, the State of New Hampshire shall be entitled to chuse three, Massachusetts eight, Rhode-Island and Providence Plantations one, Connecticut five, New-York six, New Jersey four, Pennsylvania eight, Delaware one, Maryland six, Virginia ten, North Carolina five, South Carolina five, and Georgia three.

When vacancies happen in the Representation from any State, the Executive Authority thereof shall issue Writs of Election to fill such Vacancies.

The House of Representatives shall chuse their Speaker and other Officers; and shall have the sole Power of Impeachment.

**Section 3.** The Senate of the United States shall be composed of two Senators from each State, chosen by the Legislature thereof, for six Years; and each Senator shall have one Vote.

Immediately after they shall be assembled in Consequence of the first Election, they shall be divided as equally as may be into three Classes. The Seats of the

Senators of the first Class shall be vacated at the Expiration of the second Year, of the second Class at the Expiration of the fourth Year, and of the third Class at the Expiration of the sixth Year, so that one third may be chosen every second Year; and if Vacancies happen by Resignation, or otherwise, during the Recess of the Legislature of any State, the Executive thereof may make temporary Appointments until the next Meeting of the Legislature, which shall then fill such Vacancies.

No Person shall be a Senator who shall not have attained to the Age of thirty Years, and been nine Years a Citizen of the United States, and who shall not, when elected, be an Inhabitant of that State for which he shall be chosen.

The Vice President of the United States shall be President of the Senate, but shall have no Vote, unless they be equally divided.

The Senate shall choose their other Officers, and also a President pro tempore, in the Absence of the Vice President, or when he shall exercise the Office of President of the United States.

The Senate shall have the sole Power to try all Impeachments. When sitting for that Purpose, they shall be on Oath or Affirmation. When the President of the United States is tried, the Chief Justice shall preside: and no Person shall be convicted without the Concurrence of two thirds of the Members present.

Judgment in Cases of Impeachment shall not extend further than to removal from Office, and disqualification to hold and enjoy any Office of honor, Trust or Profit under the United States: but the Party convicted shall nevertheless be liable and subject to Indictment, Trial, Judgment and Punishment, according to Law.

**Section 4.** The Times, Places and Manner of holding Elections for Senators and Representatives, shall be prescribed in each State by the Legislature thereof; but the Congress may at any time by Law make or alter such Regulations, except as to the Places of chusing Senators.

The Congress shall assemble at least once in every Year, and such Meeting shall be on the first Monday in December, unless they shall by Law appoint a different Day.

**Section 5.** Each House shall be the Judge of the Elections, Returns and Qualifications of its own Members, and a Majority of each shall constitute a Quorum to do Business; but a smaller Number may adjourn from day to day, and may be authorized to compel the Attendance of absent Members, in such Manner, and under such Penalties as each House may provide.

Each House may determine the Rules of its Proceedings, punish its Members for disorderly Behaviour, and, with the Concurrence of two thirds, expel a Member.

Each House shall keep a Journal of its Proceedings, and from time to time publish the same, excepting such Parts as may in their Judgment require Secrecy; and the Yeas and Nays of the Members of either House on any question shall, at the Desire of one fifth of those Present, be entered on the Journal.

**Section 6.** The Senators and Representatives shall receive a Compensation for their Services, to be ascertained by Law, and paid out of the Treasury of the United

States. They shall in all Cases, except Treason, Felony and Breach of the Peace, be privileged from Arrest during their Attendance at the Session of their respective Houses, and in going to and returning from the same; and for any Speech or Debate in either House, they shall not be questioned in any other Place.

No Senator or Representative shall, during the Time for which he was elected, be appointed to any civil Office under the Authority of the United States, which shall have been created, or the Emoluments whereof shall have been increased during such time; and no Person holding any Office under the United States, shall be a Member of either House during his Continuance in Office.

**Section 7.** All Bills for raising Revenue shall originate in the House of Representatives; but the Senate may propose or concur with Amendments as on other Bills.

Every Bill which shall have passed the House of Representatives and the Senate, shall, before it become a Law, be presented to the President of the United States; If he approve he shall sign it, but if not he shall return it, with his Objections to that House in which it shall have originated, who shall enter the Objections at large on their Journal, and proceed to reconsider it. If after such Reconsideration two thirds of that House shall agree to pass the Bill, it shall be sent, together with the Objections, to the other House, by which it shall likewise be reconsidered, and if approved by two thirds of that House, it shall become a Law. But in all such Cases the Votes of both Houses shall be determined by yeas and Nays, and the Names of the Persons voting for and against the Bill shall be entered on the Journal of each House respectively. If any Bill shall not be returned by the President within ten days (Sundays excepted) after it shall have been presented to him, the Same shall be a Law, in like Manner as if he had signed it, unless the Congress by their Adjournment prevent its Return in which Case it shall not be a Law.

Every Order, Resolution, or Vote to which the Concurrence of the Senate and House of Representatives may be necessary (except on a question of Adjournment) shall be presented to the President of the United States; and before the Same shall take Effect, shall be approved by him, or being disapproved by him, shall be repassed by two thirds of the Senate and House of Representatives, according to the Rules and Limitations prescribed in the Case of a Bill.

**Section 8.** The Congress shall have Power To lay and collect Taxes, Duties, Imposts and Excises, to pay the Debts and provide for the common Defence and general Welfare of the United States; but all Duties, Imposts and Excises shall be uniform throughout the United States;

To borrow Money on the credit of the United States;

To regulate Commerce with foreign Nations, and among the several States, and with the Indian Tribes;

To establish an uniform Rule of Naturalization, and uniform Laws on the subject of Bankruptcies throughout the United States;



To coin Money, regulate the Value thereof, and foreign Coin, and fix the Standard of Weights and Measures;

To provide for the Punishment of counterfeiting the Securities and current Coin of the United States;

To establish Post Offices and post Roads;

To promote the Progress of Science and useful Arts, by securing for limited Times to Authors and Inventors the exclusive Right to their respective Writings and Discoveries;

To constitute Tribunals inferior to the supreme Court;

To define and punish Piracies and Felonies committed on the high Seas, and Offences against the Law of Nations;

To declare War, grant Letters of Marque and Reprisal, and make Rules concerning Captures on Land and Water;

To raise and support Armies, but no Appropriation of Money to that Use shall be for a longer Term than two Years;

To provide and maintain a Navy;

To make Rules for the Government and Regulation of the land and naval Forces;

To provide for calling forth the Militia to execute the Laws of the Union, suppress Insurrections and repel Invasions;

To provide for organizing, arming, and disciplining, the Militia, and for governing such Part of them as may be employed in the Service of the United States, reserving to the States respectively, the Appointment of the Officers, and the Authority of training the Militia according to the discipline prescribed by Congress;

To exercise exclusive Legislation in all Cases whatsoever, over such District (not exceeding ten Miles square) as may, by Cession of particular States, and the Acceptance of Congress, become the Seat of the Government of the United States, and to exercise like Authority over all Places purchased by the Consent of the Legislature of the State in which the Same shall be, for the Erection of Forts, Magazines, Arsenals, dock-Yards, and other needful Buildings;— And

To make all Laws which shall be necessary and proper for carrying into Execution the foregoing Powers, and all other Powers vested by this Constitution in the Government of the United States, or in any Department or Officer thereof.

**Section 9.** The Migration or Importation of such Persons as any of the States now existing shall think proper to admit, shall not be prohibited by the Congress prior to the Year one thousand eight hundred and eight, but a Tax or duty may be imposed on such Importation, not exceeding ten dollars for each Person.

The Privilege of the Writ of Habeas Corpus shall not be suspended, unless when in Cases of Rebellion or Invasion the public Safety may require it.

No Bill of Attainder or ex post facto Law shall be passed.



No Capitation, or other direct, Tax shall be laid, unless in Proportion to the Census or Enumeration herein before directed to be taken.

No Tax or Duty shall be laid on Articles exported from any State.

No Preference shall be given by any Regulation of Commerce or Revenue to the Ports of one State over those of another; nor shall Vessels bound to, or from, one State, be obliged to enter, clear, or pay Duties in another.

No Money shall be drawn from the Treasury, but in Consequence of Appropriations made by Law; and a regular Statement and Account of the Receipts and Expenditures of all public Money shall be published from time to time.

No Title of Nobility shall be granted by the United States: And no Person holding any Office of Profit or Trust under them, shall, without the Consent of the Congress, accept of any present, Emolument, Office, or Title, of any kind whatever, from any King, Prince, or foreign State.

**Section 10.** No State shall enter into any Treaty, Alliance, or Confederation; grant Letters of Marque and Reprisal; coin Money; emit Bills of Credit; make any Thing but gold and silver Coin a Tender in Payment of Debts; pass any Bill of Attainder, ex post facto Law, or Law impairing the Obligation of Contracts, or grant any Title of Nobility.

No State shall, without the Consent of the Congress, lay any Imposts or Duties on Imports or Exports, except what may be absolutely necessary for executing its inspection Laws: and the net Produce of all Duties and Imposts, laid by any State on Imports or Exports, shall be for the Use of the Treasury of the United States; and all such Laws shall be subject to the Revision and Controul of the Congress.

No State shall, without the Consent of Congress, lay any Duty of Tonnage, keep Troops, or Ships of War in time of Peace, enter into any Agreement or Compact with another State, or with a foreign Power, or engage in War, unless actually invaded, or in such imminent Danger as will not admit of delay.

## Article II

**Section 1.** The executive Power shall be vested in a President of the United States of America. He shall hold his Office during the Term of four Years, and, together with the Vice President, chosen for the same Term, be elected as follows

Each State shall appoint, in such Manner as the Legislature thereof may direct, a Number of Electors, equal to the whole Number of Senators and Representatives to which the State may be entitled in the Congress: but no Senator or Representative, or Person holding an Office of Trust or Profit under the United States, shall be appointed an Elector.

The Electors shall meet in their respective States, and vote by Ballot for two Persons, of whom one at least shall not be an Inhabitant of the same State with themselves. And they shall make a List of all the Persons voted for, and of the Number of Votes for each; which List they shall sign and certify, and transmit sealed to the Seat

of the Government of the United States, directed to the President of the Senate. The President of the Senate shall, in the Presence of the Senate and House of Representatives, open all the Certificates, and the Votes shall then be counted. The Person having the greatest Number of Votes shall be the President, if such Number be a Majority of the whole Number of Electors appointed; and if there be more than one who have such Majority, and have an equal Number of Votes, then the House of Representatives shall immediately chuse by Ballot one of them for President; and if no Person have a Majority, then from the five highest on the List the said House shall in like Manner chuse the President. But in chusing the President, the Votes shall be taken by States, the Representation from each State having one Vote; A quorum for this Purpose shall consist of a Member or Members from two thirds of the States, and a Majority of all the States shall be necessary to a Choice. In every Case, after the Choice of the President, the Person having the greatest Number of Votes of the Electors shall be the Vice President. But if there should remain two or more who have equal Votes, the Senate shall chuse from them by Ballot the Vice President.

The Congress may determine the Time of chusing the Electors, and the Day on which they shall give their Votes; which Day shall be the same throughout the United States.

No Person except a natural born Citizen, or a Citizen of the United States, at the time of the Adoption of this Constitution, shall be eligible to the Office of President; neither shall any Person be eligible to that Office who shall not have attained to the Age of thirty five Years, and been fourteen Years a Resident within the United States.

In the Case of the Removal of the President from Office, or of his Death, Resignation, or Inability to discharge the Powers and Duties of the said Office, the Same shall devolve on the Vice President, and the Congress may by Law provide for the Case of Removal, Death, Resignation or Inability, both of the President and Vice President, declaring what Officer shall then act as President, and such Officer shall act accordingly, until the Disability be removed, or a President shall be elected.

The President shall, at stated Times, receive for his Services, a Compensation, which shall neither be encreased nor diminished during the Period for which he shall have been elected, and he shall not receive within that Period any other Emolument from the United States, or any of them.

Before he enter on the Execution of his Office, he shall take the following Oath or Affirmation:—“I do solemnly swear (or affirm) that I will faithfully execute the Office of the President of the United States, and will to the best of my Ability, preserve, protect and defend the Constitution of the United States.”

**Section 2.** The President shall be the Commander in Chief of the Army and Navy of the United States, and of the Militia of the several States, when called into the actual service of the United States; he may require the Opinion, in writing, of the principal Officer in each of the executive Departments, upon any Subject relating to the Duties of their respective Offices, and he shall have Power to grant Reprieves and Pardons for Offenses against the United States, except in Cases of Impeachment.

He shall have Power, by and with the Advice and Consent of the Senate, to make Treaties, provided two thirds of the Senators present concur; and he shall nominate, and by and with the Advice and Consent of the Senate, shall appoint Ambassadors, other public Ministers and Consuls, Judges of the supreme Court, and all other Officers of the United States, whose Appointments are not herein otherwise provided for, and which shall be established by Law but the Congress may by Law vest the Appointment of such inferior Officers, as they think proper, in the President alone, in the Courts of Law, or in the Heads of Departments.

The President shall have Power to fill up all Vacancies that may happen during the Recess of the Senate, by granting Commissions which shall expire at the End of their next Session.

**Section 3.** He shall from time to time give to the Congress Information of the State of the Union, and recommend to their Consideration such Measures as he shall judge necessary and expedient; he may, on extraordinary Occasions, convene both Houses, or either of them, and in Case of Disagreement between them, with Respect to the Time of Adjournment, he may adjourn them to such Time as he shall think proper; he shall receive Ambassadors and other public Ministers; he shall take Care that the Laws be faithfully executed, and shall Commission all the Officers of the United States.

**Section 4.** The President, Vice President and all civil Officers of the United States, shall be removed from Office on Impeachment for, and Conviction of, Treason, Bribery, or other high Crimes and Misdemeanors.

### Article III

**Section 1.** The judicial Power of the United States, shall be vested in one supreme Court, and in such inferior Courts as the Congress may from time to time ordain and establish. The Judges, both of the supreme and inferior Courts, shall hold their Offices during good Behaviour, and shall, at stated Times, receive for their Services, a Compensation, which shall not be diminished during their Continuance in Office.

**Section 2.** The judicial Power shall extend to all Cases, in Law and Equity, arising under this Constitution, the Laws of the United States, and Treaties made, or which shall be made, under their Authority;—to all Cases affecting Ambassadors, other public Ministers and Consuls;—to all Cases of admiralty and maritime Jurisdiction;—to Controversies to which the United States shall be a Party;—to Controversies between two or more States;—between a State and Citizens of another State;—between Citizens of different States;—between Citizens of the same State claiming Lands under Grants of different States, and between a State, or the Citizens thereof, and foreign States, Citizens or Subjects.

In all cases affecting Ambassadors, other public Ministers and Consuls, and those in which a State shall be a Party, the supreme Court shall have original Jurisdiction. In all the other Cases before mentioned, the supreme Court shall have

appellate Jurisdiction, both as to Law and Fact, with such Exceptions, and under such Regulations as the Congress shall make.

The Trial of all Crimes, except in Cases of Impeachment, shall be by Jury; and such Trial shall be held in the State where the said Crimes shall have been committed; but when not committed within any State, the Trial shall be at such Place or Places as the Congress may by Law have directed.

**Section 3.** Treason against the United States, shall consist only in levying War against them, or in adhering to their Enemies, giving them Aid or Comfort. No Person shall be convicted of Treason unless on the Testimony of two Witnesses to the same overt Act, or on Confession in open Court.

The Congress shall have Power to declare the Punishment of Treason, but no Attainder of Treason shall work Corruption of Blood, or Forfeiture except during the Life of the Person attainted.

## Article IV

**Section 1.** Full Faith and Credit shall be given in each State to the public Acts, Records, and judicial Proceedings of every other State. And the Congress may by general Laws prescribe the Manner in which such Acts, Records and Proceedings shall be proved, and the Effect thereof.

**Section 2.** The Citizens of each State shall be entitled to all Privileges and Immunities of Citizens in the several States.

A Person charged in any State with Treason, Felony, or other Crime, who shall flee from Justice, and be found in another State, shall on Demand of the executive Authority of the State from which he fled, be delivered up, to be removed to the State having Jurisdiction of the Crime.

No Person held to Service or Labour in one State, under the Laws thereof, escaping into another, shall, in Consequence of any Law or Regulation therein, be discharged from such Service or Labour, but shall be delivered up on Claim of the Party to whom such Service or Labour may be due.

**Section 3.** New States may be admitted by the Congress into this Union; but no new State shall be formed or erected within the Jurisdiction of any other State; nor any State be formed by the Junction of two or more States, or Parts of States, without the Consent of the Legislatures of the States concerned as well as of the Congress.

The Congress shall have Power to dispose of and make all needful Rules and Regulations respecting the Territory or other Property belonging to the United States; and nothing in this Constitution shall be so construed to Prejudice any Claims of the United States, or of any particular State.

**Section 4.** The United States shall guarantee to every State in this Union a Republican Form of Government, and shall protect each of them against Invasion; and on

Application of the Legislature, or of the Executive (when the Legislature cannot be convened) against domestic Violence.

### Article V

The Congress, whenever two thirds of both Houses shall deem it necessary, shall propose Amendments to this Constitution, or, on the Application of the Legislatures of two thirds of the several States, shall call a Convention for proposing Amendments, which, in either Case, shall be valid to all Intents and Purposes, as Part of this Constitution, when ratified by the Legislatures of three fourths of the several States, or by Conventions in three fourths thereof, as the one or the other Mode of Ratification may be proposed by the Congress; provided that no Amendment which may be made prior to the Year One thousand eight hundred and eight shall in any Manner affect the first and fourth Clauses in the Ninth Section of the first Article; and that no State, without its Consent, shall be deprived of its equal Suffrage in the Senate.

### Article VI

All Debts contracted and Engagements entered into, before the adoption of this Constitution, shall be as valid against the United States under this Constitution, as under the Confederation.

This Constitution, and the Laws of the United States which shall be made in Pursuance thereof; and all Treaties made, or which shall be made, under the Authority of the United States, shall be the supreme Law of the Land; and the Judges in every State shall be bound thereby, any Thing in the Constitution or Laws of any State to the Contrary notwithstanding.

The Senators and Representatives before mentioned, and the members of the several State Legislatures, and all executive and judicial Officers, both of the United States and of the several States, shall be bound by Oath or Affirmation, to support this Constitution; but no religious Test shall ever be required as a Qualification to any Office or public Trust under the United States.

### Article VII

The Ratification of the Conventions of nine States, shall be sufficient for the Establishment of this Constitution between the States so ratifying the Same.

*Go. Washington*—Presidt.

And deputy from Virginia

New Hampshire

*John Langdon*

*Nicholas Gilman*

New Jersey  
*Wil: Livingston*  
*David Brearley*  
*Wm. Paterson*  
*Jona: Dayton*

Massachusetts  
*Nathaniel Gorham*  
*Rufus King*

Connecticut  
*Wm. Saml. Johnson*  
*Roger Sherman*

New York  
*Alexander Hamilton*

Pennsylvania  
*B Franklin*  
*Thomas Mifflin*  
*Robt. Morris*  
*Geo. Clymer*  
*Thos. Fitzsimons*  
*Jared Ingersoll*  
*James Wilson*  
*Gouv Morris*

Delaware  
*Geo: Read*  
*Cunning Bedford jun*  
*John Dickinson*  
*Richard Bassett*  
*Jaco: Broom*

North Carolina  
*Wm: Blount.*  
*Richd. Dobbs Spaight*  
*Hu Williamson*

Maryland  
*James McHenry*  
*Dan of St. Thos. Jenifer*  
*Danl. Carroll*

South Carolina  
*J. Rutledge*  
*Charles Cotesworth Pinckney*  
*Pierce Butler*

Virginia  
*John Blair*  
*James Madison Jr.*

Georgia  
*William Few*  
*Abr Baldwin*

# The Bill of Rights

## (1791)

### Amendment I

Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.

### Amendment II

A well regulated militia, being necessary to the security of a free state, the right of the people to keep and bear arms, shall not be infringed.

### Amendment III

No soldier shall, in time of peace be quartered in any house, without the consent of the owner, nor in time of war, but in a manner to be prescribed by law.

### Amendment IV

The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no warrants shall issue, but upon probable cause, supported by oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized.

### Amendment V

No person shall be held to answer for a capital, or otherwise infamous crime, unless on a presentment or indictment of a grand jury, except in cases arising in the land or naval forces, or in the militia, when in actual service in time of war or public danger; nor shall any person be subject for the same offense to be twice put in jeopardy of life or limb; nor shall be compelled in any criminal case to be a witness against himself, nor be deprived of life, liberty, or property, without due process of law; nor shall private property be taken for public use, without just compensation.

### Amendment VI

In all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial, by an impartial jury of the state and district wherein the crime shall have been committed, which district shall have been previously ascertained by law, and to be informed of the nature and cause of the accusation; to be confronted with the witnesses against him; to have compulsory process for obtaining witnesses in his favor, and to have the assistance of counsel for his defense.

### **Amendment VII**

In suits at common law, where the value in controversy shall exceed twenty dollars, the right of trial by jury shall be preserved, and no fact tried by a jury, shall be otherwise reexamined in any court of the United States, then according to the rules of the common law.

### **Amendment VIII**

Excessive bail shall not be required, nor excessive fines imposed, nor cruel and unusual punishments inflicted.

### **Amendment IX**

The enumeration in the Constitution, of certain rights, shall not be construed to deny or disparage others retained by the people.

### **Amendment X**

The powers not delegated to the United States by the Constitution, nor prohibited by it to the states, are reserved to the states respectively, or to the people.

## **Later Amendments**

### **Amendment XI**

(1798)

The judicial power of the United States shall not be construed to extend to any suit in law or equity, commenced or prosecuted against one of the United States by Citizens of another State, or by Citizens or Subjects of any Foreign State.

### **Amendment XII**

(1804)

The Electors shall meet in their respective states and vote by ballot for President and Vice-President, one of whom, at least, shall not be an inhabitant of the same state with themselves; they shall name in their ballots the person voted for as President, and in distinct ballots the person voted for as Vice-President, and they shall make distinct lists of all persons voted for as President, and of all persons voted for as Vice-President, and of the number of votes for each, which lists they shall sign and certify, and transmit sealed to the seat of the government of the United States, directed to the President of the Senate;—The President of the Senate shall, in the presence of the Senate and House of Representatives, open all the certificates and the votes shall then be counted;—the person having the greatest number of votes for President, shall be the President, if such number be a majority of the whole number of Electors appointed; and if no person have such majority, then from the



persons having the highest numbers not exceeding three on the list of those voted for as President, the House of Representatives shall choose immediately, by ballot, the President. But in choosing the President, the votes shall be taken by states, the representation from each state having one vote; a quorum for this purpose shall consist of a member or members from two-thirds of the states, and a majority of all the states shall be necessary to a choice. And if the House of Representatives shall not choose a President whenever the right of choice shall devolve upon them, before the fourth day of March next following, then the Vice-President shall act as President, as in the case of the death or other constitutional disability of the President. The person having the greatest number of votes as Vice-President, shall be the Vice-President, if such number be a majority of the whole number of Electors appointed, and if no person have a majority, then from the two highest numbers on the list, the Senate shall choose the Vice-President; a quorum for the purpose shall consist of two-thirds of the whole number of Senators, and a majority of the whole number shall be necessary to a choice. But no person constitutionally ineligible to the office of President shall be eligible to that of Vice-President of the United States.

### **Amendment XIII**

(1865)

**Section 1.** Neither slavery nor involuntary servitude, except as a punishment for crime whereof the party shall have been duly convicted, shall exist within the United States, or any place subject to their jurisdiction.

**Section 2.** Congress shall have power to enforce this article by appropriate legislation.

### **Amendment XIV**

(1868)

**Section 1.** All persons born or naturalized in the United States, and subject to the jurisdiction thereof, are citizens of the United States and of the State wherein they reside. No State shall make or enforce any law which shall abridge the privileges or immunities of citizens of the United States; nor shall any State deprive any person of life, liberty, or property, without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws.

**Section 2.** Representatives shall be apportioned among the several States according to their respective numbers, counting the whole number of persons in each State, excluding Indians not taxed. But when the right to vote at any election for the choice of electors for President and Vice President of the United States, Representatives in Congress, the Executive and Judicial officers of a State, or the members of the Legislature thereof, is denied to any of the male inhabitants of such State, being twenty-one years of age, and citizens of the United States, or in any way abridged, except for participation in rebellion, or other crime, the basis of representation therein shall be

reduced in the proportion which the number of such male citizens shall bear to the whole number of male citizens twenty-one years of age in such State.

**Section 3.** No person shall be a Senator or Representative in Congress, or elector of President and Vice President, or hold any office, civil or military, under the United States, or under any State, who, having previously taken an oath, as a member of Congress, or as an officer of the United States, or as a member of any State legislature, or as an executive or judicial officer of any State, to support the Constitution of the United States, shall have engaged in insurrection or rebellion against the same, or given aid or comfort to the enemies thereof. But Congress may by a vote of two-thirds of each House, remove such disability.

**Section 4.** The validity of the public debt of the United States, authorized by law, including debts incurred for payment of pensions and bounties for services in suppressing insurrection or rebellion, shall not be questioned. But neither the United States nor any State shall assume or pay any debt or obligation incurred in aid of insurrection or rebellion against the United States, or any claim for the loss or emancipation of any slave; but all such debts, obligations and claims shall be held illegal and void.

**Section 5.** The Congress shall have power to enforce, by appropriate legislation, the provisions of this article.

### **Amendment XV**

(1870)

**Section 1.** The right of citizens of the United States to vote shall not be denied or abridged by the United States or by any State on account of race, color, or previous condition of servitude.

**Section 2.** The Congress shall have power to enforce this article by appropriate legislation.

### **Amendment XVI**

(1913)

The Congress shall have power to lay and collect taxes on incomes, from whatever source derived, without apportionment among the several States, and without regard to any census or enumeration.

### **Amendment XVII**

(1913)

The Senate of the United States shall be composed of two Senators from each State, elected by the people thereof, for six years; and each Senator shall have one vote. The electors in each State shall have the qualifications requisite for electors of the most numerous branch of the State legislature.

When vacancies happen in the representation of any State in the Senate, the executive authority of such State shall issue writs of election to fill such vacancies: *Provided*, That the legislature of any State may empower the executive thereof to make temporary appointments until the people fill the vacancies by election as the legislature may direct.

This amendment shall not be so construed as to affect the election or term of any Senator chosen before it becomes valid as part of the Constitution.

### **Amendment XVIII**

(1919)

**Section 1.** After one year from the ratification of this article the manufacture, sale, or transportation of intoxicating liquors within, the importation thereof into, or the exportation thereof from the United States and all territory subject to the jurisdiction thereof for beverage purposes is hereby prohibited.

**Section 2.** The Congress and the several States shall have concurrent power to enforce this article by appropriate legislation.

**Section 3.** This article shall be inoperative unless it shall have been ratified as an amendment to the Constitution by the legislatures of the several States, as provided in the Constitution, within seven years from the date of the submission hereof to the States by the Congress.

### **Amendment XIX**

(1920)

The right of citizens of the United States to vote shall not be denied or abridged by the United States or by any State on account of sex.

Congress shall have power to enforce this article by appropriate legislation.

### **Amendment XX**

(1933)

**Section 1.** The terms of the President and Vice President shall end at noon on the 20th day of January, and the terms of Senators and Representatives at noon on the 3d day of January, of the years in which such terms would have ended if this article had not been ratified; and the terms of their successors shall then begin.

**Section 2.** The Congress shall assemble at least once in every year, and such meeting shall begin at noon on the 3d day of January, unless they shall by law appoint a different day.

**Section 3.** If, at the time fixed for the beginning of the term of the President, the President elect shall have died, the Vice President elect shall become President. If a President shall not have been chosen before the time fixed for the beginning of his

term, or if the President elect shall have failed to qualify, then the Vice President elect shall act as President until a President shall have qualified; and the Congress may by law provide for the case wherein neither a President elect nor a Vice President elect shall have qualified, declaring who shall then act as President, or the manner in which one who is to act shall be selected, and such person shall act accordingly until a President or Vice President shall have qualified.

**Section 4.** The Congress may by law provide for the case of the death of any of the persons from whom the House of Representatives may choose a President whenever the right of choice shall have devolved upon them, and for the case of the death of any of the persons from whom the Senate may choose a Vice President whenever the right of choice shall have devolved upon them.

**Section 5.** Sections 1 and 2 shall take effect on the 15th day of October following the ratification of this article.

**Section 6.** This article shall be inoperative unless it shall have been ratified as an amendment to the Constitution by the legislatures of three-fourths of the several States within seven years from the date of its submission.

### **Amendment XXI**

(1933)

**Section 1.** The eighteenth article of amendment to the Constitution of the United States is hereby repealed.

**Section 2.** The transportation or importation into any State, territory, or possession of the United States for delivery or use therein of intoxicating liquors, in violation of the laws thereof, is hereby prohibited.

**Section 3.** This article shall be inoperative unless it shall have been ratified as an amendment to the Constitution by conventions in the several States, as provided in the Constitution, within seven years from the date of the submission hereof to the States by the Congress.

### **Amendment XXII**

(1951)

**Section 1.** No person shall be elected to the office of the President more than twice, and no person who has held the office of President, or acted as President, for more than two years of a term to which some other person was elected President shall be elected to the office of the President more than once. But this article shall not apply to any person holding the office of President when this article was proposed by the Congress, and shall not prevent any person who may be holding the office of President, or acting as President, during the term within which this article becomes operative from holding the office of President or acting as President during the remainder of such term.

**Section 2.** This article shall be inoperative unless it shall have been ratified as an amendment to the Constitution by the legislatures of three-fourths of the several States within seven years from the date of its submission to the States by the Congress.

### **Amendment XXIII**

(1961)

**Section 1.** The District constituting the seat of government of the United States shall appoint in such manner as the Congress may direct:

A number of electors of President and Vice President equal to the whole number of Senators and Representatives in Congress to which the District would be entitled if it were a State, but in no event more than the least populous State; they shall be in addition to those appointed by the States, but they shall be considered, for the purposes of the election of the President and Vice President, to be electors appointed by a State; and they shall meet in the District and perform such duties as provided by the twelfth article of amendment.

**Section 2.** The Congress shall have power to enforce this article by appropriate legislation.

### **Amendment XXIV**

(1964)

**Section 1.** The right of citizens of the United States to vote in any primary or other election for President or Vice President, for electors for President or Vice President, or for Senator or Representative in Congress, shall not be denied or abridged by the United States or any State by reason of failure to pay any poll tax or other tax.

**Section 2.** The Congress shall have the power to enforce this article by appropriate legislation.

### **Amendment XXV**

(1967)

**Section 1.** In case of the removal of the President from office or his death or resignation, the Vice President shall become President.

**Section 2.** Whenever there is a vacancy in the office of the Vice President, the President shall nominate a Vice President who shall take office upon confirmation by a majority vote of both Houses of Congress.

**Section 3.** Whenever the President transmits to the President pro tempore of the Senate and the Speaker of the House of Representatives his written declaration that he is unable to discharge the powers and duties of his office, and until he transmits to them a written declaration to the contrary, such powers and duties shall be discharged by the Vice President as Acting President.

**Section 4.** Whenever the Vice President and a majority of either the principal officers of the executive departments or such other body as Congress may by law provide, transmit to the President pro tempore of the Senate and the Speaker of the House of Representatives their written declaration that the President is unable to discharge the powers and duties of his office, the Vice President shall immediately assume the powers and duties of the office as Acting President.

Thereafter, when the President transmits to the President pro tempore of the Senate and the Speaker of the House of Representatives his written declaration that no inability exists, he shall resume the powers and duties of his office unless the Vice President and a majority of either the principal officers of the executive department or of such other body as Congress may by law provide, transmit within four days to the President pro tempore of the Senate and the Speaker of the House of Representatives their written declaration that the President is unable to discharge the powers and duties of his office. Thereupon Congress shall decide the issue, assembling within forty-eight hours for that purpose if not in session. If the Congress, within twenty-one days after receipt of the latter written declaration, or, if Congress is not in session, within twenty-one days after Congress is required to assemble, determine by two-thirds vote of both Houses that the President is unable to discharge the powers and duties of his office, the Vice President shall continue to discharge the same as Acting President; otherwise, the President shall resume the powers and duties of his office.

### **Amendment XXVI**

(1971)

**Section 1.** The right of citizens of the United States, who are 18 years of age or older, to vote, shall not be denied or abridged by the United States or any State on account of age.

**Section 2.** The Congress shall have the power to enforce this article by appropriate legislation.

### **Amendment XXVII**

(1992)

No law varying the compensation for the services of the Senators and Representatives shall take effect until an election of Representatives shall have intervened.