Understanding Criminal Law

CAROLINA ACADEMIC PRESS UNDERSTANDING SERIES

Understanding Administrative Law,
Sixth Edition
William Fox, Jr.

Understanding Alternative Dispute Resolution Kristen M. Blankley and Maureen A. Weston

Understanding Animal Law Adam Karp

Understanding Antitrust and Its Economic
Implications, Seventh Edition
E. Thomas Sullivan and Jeffrey L. Harrison

Understanding Bankruptcy, Fourth Edition Jeffrey Ferriell and Edward J. Janger

Understanding California Community
Property Law, 2e
Jo Carrillo

Understanding Capital Punishment Law, Fourth Edition

Linda E. Carter, Ellen S. Kreitzberg, and Scott W. Howe

Understanding Civil Procedure, Sixth Edition Gene R. Shreve, Peter Raven-Hansen,

Gene R. Shreve, Peter Raven-Hansen, and Charles Gardner Geyh

Understanding Civil Procedure: The California Edition

Walter W. Heiser, Gene R. Shreve, Peter Raven-Hansen, and Charles Geyh

Understanding Civil Rights Litigation, Second Edition

Howard M. Wasserman

Understanding Conflict of Laws, Fourth Edition

William M. Richman, William L. Reynolds, and Chris A. Whytock

Understanding Constitutional Law, Fifth Edition

William D. Araiza

Understanding Contracts, Fourth Edition Jeffrey Ferriell

Understanding Copyright Law, Seventh Edition Marshall A. Leaffer

Understanding Corporate Law, Fifth Edition

Arthur R. Pinto and Douglas M. Branson

Understanding Corporate Taxation, Fourth Edition

Leandra Lederman and Michelle Kwon

Understanding Criminal Law, Ninth Edition Joshua Dressler

Understanding Criminal Procedure: Vol. 1: Investigation, Eighth Edition Joshua Dressler, Alan C. Michaels, and Ric Simmons

Understanding Criminal Procedure: Vol. 2: Adjudication, Fourth Edition Joshua Dressler and Alan C. Michaels

Understanding Disability Law, Third Edition Mark C. Weber

Understanding Election Law and Voting Rights

Michael R. Dimino, Bradley A. Smith, and Michael E. Solimine

Understanding Employee Benefits Law , Second Edition Kathryn L. Moore

Understanding Employment
Discrimination Law, Third Edition
Thomas R. Haggard and Bruce N. Cameron

Understanding Employment Law, Third Edition

Paul M. Secunda, Richard A. Bales, and Jeffrey M. Hirsch

Understanding Environmental Law, Third Edition

Philip Weinberg and Kevin A. Reilly

Understanding Estate and Gift Taxation, Second Edition

Brant J. Hellwig and Robert T. Danforth

Understanding Evidence, Fifth Edition Paul C. Giannelli

Understanding Family Law, Fourth Edition John Gregory, Peter N. Swisher, and Robin Wilson

Understanding Federal and California Evidence Paul C. Giannelli

Understanding Federal Courts and Jurisdiction, Second Edition Linda Mullenix, Martin H. Redish, and Georgene Vairo

Understanding Federal Income Taxation, Sixth Edition

J. Martin Burke and Michael K. Friel

Understanding The First Amendment, Seventh Edition Russell L. Weaver

Understanding Immigration Law, Third Edition

Kevin R. Johnson, Raquel Aldana, Bill Ong Hing, Leticia M. Saucedo, and Enid Trucios-Haynes

Understanding Insurance Law, Sixth Edition Robert H. Jerry, II and Douglas Richmond

Understanding Intellectual Property Law, Fourth Edition

Tyler T. Ochoa, Shubha Ghosh, and Mary LaFrance

Understanding International Business and Financial Transactions, Fourth Edition Jerold A. Friedland

Understanding International Criminal Law, Third Edition

Ellen S. Podgor and Roger S. Clark

Understanding International Law,
Third Edition
Stephen C. McCaffrey

Understanding Jewish Law, Second Edition Steven H. Resnicoff

Understanding Juvenile Law, Fifth Edition Martin Gardner

Understanding Labor Law, Fifth Edition

Douglas E. Ray, Calvin William Sharpe, and Robert N. Strassfeld

Understanding the Law of Terrorism, Second Edition

Erik Luna and Wayne McCormack

Understanding the Law of Zoning and Land Use Controls, Third Edition Barlow Burke

Understanding Lawyers' Ethics, Fifth Edition

Monroe H. Freedman and Abbe Smith

Understanding Local Government, Second Edition Sandra Stevenson

Understanding Modern Real Estate Transactions, Fourth Edition Alex M. Johnson, Jr. Understanding Negotiable Instruments and Payment Systems, Second Edition William H. Lawrence

Understanding Nonprofit and Tax Exempt Organizations, Third Edition

Nicholas P. Cafardi and Jaclyn Fabean Cherry

Understanding Partnership and LLC Taxation, Fourth Edition Jerold A. Friedland

Understanding Patent Law, Third Edition Amy L. Landers

Understanding Products Liability Law, Second Edition

Bruce L. Ottley, Rogelio A. Lasso, and Terrence F. Kiely

Understanding Property Law, Fourth Edition John G. Sprankling

Understanding Remedies, Fourth Edition James M. Fischer

Understanding Sales and Leases of Goods, Third Edition

William H. Henning, William H. Lawrence, and Henry Deeb Gabriel

Understanding Secured Transactions, Fifth Edition

William H. Lawrence, William H. Henning, and R. Wilson Freyermuth

Understanding Securities Law, Seventh Edition Marc I. Steinberg

Understanding Taxation of Business Entities Second Edition

Walter D. Schwidetzky and Fred B. Brown

Understanding Torts, Sixth Edition John L. Diamond, Lawrence C. Levine, and Anita Bernstein

Understanding Trade Secret Law John G. Sprankling and Thomas G. Sprankling

Understanding Trademark Law, Fourth Edition
Mary LaFrance

Understanding Trusts and Estates, Sixth Edition

Roger W. Andersen and Susan Gary

Understanding White Collar Crime, Fourth Edition J. Kelly Strader

Understanding Criminal Law

NINTH EDITION

Joshua Dressler

Distinguished University Professor Emeritus
Professor of Law Emeritus
Michael E. Moritz College of Law
The Ohio State University



Copyright © 2022 Joshua Dressler All Rights Reserved

See catalog.loc.gov for Library of Congress Cataloging-in-Publication Data

> Carolina Academic Press 700 Kent Street Durham, North Carolina 27701 Telephone (919) 489-7486 www.cap-press.com

Printed in the United States of America

I dedicate this book to:

Dottie, my wife and partner in life

Thank you.

Contents

Frequently Cited Source	xxxiii
Preface to the Ninth Edition	XXXV
Chapter 1 · Criminal Law: An Overview	3
\$1.01 Nature of "Criminal Law"	3
[A] Crimes	3
[1] Comparison to Civil Wrongs	3
[2] Classification of Crimes	5
[B] Principles of Criminal Responsibility	5
\$1.02 Proving Guilt at the Trial	6
[A] Right to Trial by Jury	6
[1] In General	6
[2] Scope of the Right	6
[B] Burden of Proof	7
[C] Jury Nullification	7
[1] The Issue	7
[2] The Debate	8
[3] The Law	9
[4] Race-Based Nullification	10
Chapter 2 · Principles of Criminal Punishment	13
§ 2.01 "Punishment" and Criminal Law Theory	13
§2.02 "Punishment": Defined	14
[A] In General	14
[B] Constitutional Law Analysis	14
§ 2.03 Theories of Punishment	16
[A] Utilitarianism	16
[1] Basic Principles	16
[2] Forms of Utilitarianism	17
[B] Retributivism	18
[1] Basic Principles	18
[2] Forms of Retributivism	19
[C] Denunciation (Expressive Theory)	20
§ 2.04 The Debate between the Competing Theories	21
[A] Criticisms of Utilitarianism	21
[1] Deterrence	21
[2] Rehabilitation	22
[B] Criticisms of Retributivism	23
§ 2.05 Mixed Theories of Punishment	24
\$2.06 Sentencing	25

x CONTENTS

Chapter 3 · Sources of the Criminal Law	27
§ 3.01 Origins of the Criminal Law	27
[A] Common Law	27
[B] Criminal Statutes	27
§ 3.02 Modern Role of the Common Law	28
[A] "Reception" Statutes	28
[B] Statutory Interpretation	29
§ 3.03 Model Penal Code	30
Chapter 4 · Constitutional Limits on the Criminal Law	33
§4.01 The Constitution: Overview	33
§4.02 Relevant Constitutional Provisions	33
[A] Bill of Rights	33
[B] Fourteenth Amendment	34
§ 4.03 Policy Factors in Enforcing the Constitution	35
[A] In General	35
[B] Separation of Powers	36
[C] Federalism	36
[D] Protecting Individual Rights	36
Chapter 5 · Legality	39
§5.01 Principle of Legality	39
[A] "Legality": Definition	39
[B] Rationale	40
[C] Constitutional Law	41
[1] Bill of Attainder and Ex Post Facto Clauses	41
[2] Due Process Clause	42
§5.02 Statutory Clarity	43
§ 5.03 Avoiding Undue Discretion in Law Enforcement	45
§ 5.04 Strict Construction of Statutes (Rule of Lenity)	47
Chapter 6 · Proportionality	49
§ 6.01 "Proportionality" in the Criminal Law: Overview	49
§ 6.02 Utilitarianism and Proportionality	50
[A] General Principles	50
[B] Application of the Principles	50
[1] General Deterrence	50
[2] Individual Deterrence or Incapacitation	51
[3] Rehabilitation	52
§ 6.03 Retributivism and Proportionality	52
[A] General Principles	52
[B] Application of the Principles	52
[1] In General	52
[2] Devising a Proportional Retributive System	53
§ 6.04 Comparing the Two Theories of Proportionality	54
§ 6.05 Constitutional Requirement of Proportionality	55
[A] General Principles	55
[B] Death Penalty	56
[C] Terms of Imprisonment	59

CONTENTS xi

[1] Rummel v. Estelle	59
[2] Solem v. Helm	60
[3] Harmelin v. Michigan	61
[4] Ewing v. California	62
[5] Summary	64
Chapter 7 · Burdens of Proof	65
§ 7.01 Putting the Issues in Procedural Context	65
§7.02 Burden of Production	65
[A] Nature of the Burden	65
[B] Who Has the Burden?	66
[C] How Great Is the Burden?	66
[D] Effect of Failing to Meet the Burden	67
§7.03 Burden of Persuasion	67
[A] Nature of the Burden	67
[B] Who Has the Burden?	68
[1] The Presumption of Innocence: The Winship Doctrine (In General)	68
[2] Mullaney v. Wilbur	68
[3] Patterson v. New York	70
[4] Post-Patterson Case Law	71
[a] In General	71
[b] Element of an Offense versus a Defense	71
[C] How Great Is the Burden?	73
[1] Elements of Crimes: Proof Beyond a Reasonable Doubt	73
[2] Defenses	74
[D] Effect of Failing to Meet the Burden	74
[1] Elements of Crimes	74
[2] Defenses	75
§ 7.04 Model Penal Code	75
Chapter 8 · Presumptions	77
§ 8.01 The Nature of a Presumption	77
§ 8.02 Mandatory Presumptions	78
[A] Rebuttable Presumptions	78
[B] Irrebuttable ("Conclusive") Presumptions	79
§ 8.03 Permissive Presumptions ("Inferences")	79
§ 8.04 Model Penal Code	80
Chapter 9 · Actus Reus	83
§ 9.01 Actus Reus: General Principles	83
[A] Definition	83
[B] Punishing Thoughts: Why Not?	84
§ 9.02 Voluntary Act: General Principles	85
[A] General Rule	85
[B] The "Act"	86
[C] "Voluntary"	87
[1] Broad Meaning: In the Context of Defenses	87
[2] Narrow Meaning: In the Context of the Actus Reus	87
[3] "Voluntariness": At the Controversial Edges	89

xii CONTENTS

[a] Hypnotism	89
[b] Multiple Personality (or Dissociative Identity) Disorder	90
[D] Voluntary Act Requirement: Rationale	91
[E] Burden of Proof	91
[F] The Issue of "Time-Framing"	92
§ 9.03 Voluntary Act: Supposed (But Not Real) Exceptions to the Requirement	94
[A] Poorly Drafted Statutes	94
[B] Status Offenses	94
[C] Crimes of Possession	95
§ 9.04 Voluntary Act: Constitutional Law	95
[A] Robinson v. California	96
[B] Powell v. Texas	96
[C] Current Law: Powell in Light of Robinson	98
§ 9.05 Voluntary Act: Model Penal Code	99
[A] General Principles	99
[B] Exception to the Rule	99
§ 9.06 Omissions: General Principles	100
[A] General Rule	100
[B] Criticisms of the General Rule	101
[C] Defense of the General Rule	101
§ 9.07 Omissions: Exceptions to the No-Liability Rule	103
[A] Common Law Duty to Act: "Commission by Omission"	103
[1] Overview	103
[2] When There Is a Duty to Act	104
[a] Status Relationship	104
[b] Contractual Obligation	104
[c] Omissions Following an Act	105
[i] Creation of a Risk	105
[ii] Voluntary Assistance	105
[B] Statutory Duty (Including "Bad Samaritan" Laws)	106
§ 9.08 Omissions: Model Penal Code	106
§ 9.09 Medical "Omissions": A Definitional Problem	107
[A] Act or Omission?	107
[B] Analysis as an Omission	108
[C] The Barber Approach	108
[D] Reflections Regarding Barber	109
§ 9.10 Social Harm: General Principles	109
[A] Overview	109
[B] A Broad Definition of "Social Harm"	110
[C] Finding the "Social Harm" Element in a Criminal Statute	111
[D] Dividing "Social Harm" into Sub-Elements	111
[1] "Conduct" Elements (or "Conduct" Crimes)	112
[2] "Result" Elements (or "Result" Crimes)	112
[3] Attendant Circumstances	112
§ 9.11 Social Harm: Constitutional Limits	113
Chapter 10 · Mens Rea	115
§ 10.01 General Principle	115
§ 10.02 Definition of "Mens Rea": The Dual Meanings	116

CONTENTS	xiii

[A] Ambiguity of the Term	116
[B] Broad Meaning: The "Culpability" Meaning of "Mens Rea"	116
[C] Narrow Meaning: The "Elemental" Meaning of "Mens Rea"	117
§ 10.03 Rationale of the <i>Mens Rea</i> Requirement	118
[A] Utilitarian Arguments	118
[B] Retributive Arguments	118
§ 10.04 Frequently Used <i>Mens Rea</i> Terms	119
[A] "Intentionally"	119
[1] Definition	119
[2] "Motive" Distinguished	120
[3] "Transferred Intent"	121
[a] General Doctrine	121
[b] An Unnecessary and Potentially Misleading Doctrine	122
[c] Looking Past the Easy Cases	123
[B] "Knowingly" or "With Knowledge" and the Special Problem	
of "Willful Blindness"	125
[C] "Willfully"	127
[D] "Negligence" and "Recklessness"	128
[1] Overview	128
[2] "Negligence"	129
[a] In General	129
[b] Distinguishing Civil from Criminal Negligence	129
[c] Should Negligence Be Punished?	130
[d] Who Really Is the "Reasonable Person"? Initial Observations	131
[3] "Recklessness"	132
[E] "Malice"	133
§ 10.05 Statutory Interpretation: What Elements Does a <i>Mens Rea</i>	
Term Modify?	133
§ 10.06 "Specific Intent" and "General Intent"	135
§ 10.07 Model Penal Code	136
[A] Section 2.02: In General	137
[B] Culpability Terms	138
[1] "Purposely"	138
[2] "Knowingly"	139
[3] "Recklessly" and "Negligently"	139
[a] In General	139
[b] Nature of the "Reasonable Person"	140
[C] Principles of Statutory Interpretation	140
	140
Chapter 11 · Strict Liability	143
§ 11.01 General Principles	143
[A] "Strict Liability": Definition	143
[B] Presumption against Strict Liability	143
[C] Public Welfare Offenses	145
[D] Non-Public-Welfare Offenses	146
§ 11.02 Policy Debate Regarding Strict-Liability Offenses	146
[A] Searching for a Justification for Strict Liability	146
[B] Alternatives to Strict Liability	147
§ 11.03 Constitutionality of Strict-Liability Offenses	147
§ 11.04 Model Penal Code	149

xiv CONTENTS

Chapter 12 · Mistakes of Fact	151
§ 12.01 Putting Mistake-of-Fact in Context	151
§ 12.02 Why Does a Factual Mistake Sometimes Exculpate?	152
§12.03 Common Law Rules	153
[A] General Approach	153
[B] Strict-Liability Offenses	154
[C] Specific-Intent Offenses	154
[D] General-Intent Offenses	155
[1] Ordinary Approach: Was the Mistake Reasonable?	155
[2] Another (Controversial and Increasingly Uncommon) Approach:	
Moral-Wrong Doctrine	156
[a] The Doctrine Is Explained	156
[b] Criticisms of the Doctrine	158
[3] Still Another Approach: Legal-Wrong Doctrine	158
[a] The Doctrine Is Explained	158
[b] Criticism of the Doctrine	159
[E] Reviewing the Common Law Rules through a Real	
(and Controversial) Case	159
§ 12.04 Model Penal Code	161
[A] General Rule	161
[B] Exception to the Rule	162
Chapter 13 · Mistakes of Law	163
§ 13.01 General Principles	163
[A] General Rule	163
[B] Rationale of the Rule	164
[1] Certainty of the Law	164
[2] Avoiding Subjectivity in the Law	164
[3] Deterring Fraud	165
[4] Encouraging Legal Knowledge	166
§ 13.02 When Mistake-of-Law Is a Defense: Exceptions to the General Rule	166
[A] Putting the Exceptions in Context	166
[B] Reasonable-Reliance Doctrine (Entrapment by Estoppel)	167
[1] No Defense: Reliance on One's Own Interpretation of the Law	167
[2] No Defense: Advice of Private Counsel	168
[3] Defense: Erroneous Interpretation of the Law by an Appropriate	100
Public Officer or Body	168
[C] Fair Notice and the <i>Lambert</i> Principle	170
[D] Ignorance or Mistake That Negates Mens Rea	172
[1] General Approach	172
[2] Specific-Intent Offenses	173
[3] General-Intent Offenses	173
[4] Strict-Liability Offenses	174
§ 13.03 Model Penal Code	174
[A] General Rule	174
[B] Exceptions to the General Rule	174
[1] Reasonable-Reliance Doctrine	174
[2] Fair Notice	175
[3] Ignorance or Mistake That Negates <i>Mens Rea</i>	175

CONTENTS xv

Chapter 14 · Causation	177
§ 14.01 General Principles	177
[A] "Causation": An Element of Criminal Responsibility	177
[B] "Causation": Its Role in Criminal Law Theory	178
[C] "Causation": Criminal Law versus Tort Law	179
§ 14.02 Actual Cause (or "Factual Cause")	180
[A] "But-For" ("Sine Qua Non") Test	180
[B] "Causes" versus "Conditions"	180
[C] Special "Actual Cause" Problems	181
[1] Confusing "Causation" with "Mens Rea"	181
[a] Causation without Mens Rea	181
[b] Mens Rea without Causation	182
[2] Multiple Actual Causes	182
[a] Accelerating a Result	182
[b] Concurrent Sufficient Causes	183
[3] Obstructed Cause	184
§ 14.03 Proximate Cause (or "Legal Cause")	185
[A] Putting "Proximate Cause" in Context	185
[B] Direct Cause [C] Intervening Causes	186
[1] Overview	186 186
[2] Factor 1: <i>De Minimis</i> Contribution to the Social Harm	187
[3] Factor 2: Foreseeability of the Intervening Cause	187
[a] In General	187
[b] Responsive (Dependent) Intervening Causes	188
[c] Coincidental (Independent) Intervening Causes	189
[4] Factor 3: The Defendant's <i>Mens Rea</i> (Intended	10,
Consequences Doctrine)	189
[5] Factor 4: Dangerous Forces That Come to Rest	
(Apparent Safety Doctrine)	190
[6] Factor 5: Free, Deliberate, Informed Human Intervention	191
[7] Factor 6: Omissions	191
§ 14.04 Model Penal Code	192
[A] Actual Cause	192
[B] Proximate Cause (Actually, Culpability)	192
Chapter 15 · Concurrence of Elements	195
§15.01 General Principles	195
§15.02 Temporal Concurrence	195
[A] Mens Rea Preceding Actus Reus	195
[B] Actus Reus Preceding Mens Rea	196
§15.03 Motivational Concurrence	196
§ 15.04 Special Problem: Temporally Divisible Acts and/or Omissions	197
Chapter 16 · Defenses: An Overview	199
§ 16.01 Defenses: In Context	199
§ 16.02 Failure-of-Proof Defenses	200
§16.03 Justification Defenses	200
§ 16.04 Excuse Defenses	201

xvi CONTENTS

§ 16.05 Specialized Defenses ("Offense Modifications")	201
§ 16.06 Extrinsic Defenses ("Nonexculpatory Defenses")	202
Chapter 17 · Justifications and Excuses	203
§ 17.01 Historical Overview	203
§ 17.02 Underlying Theories of "Justification"	204
[A] Searching for an Explanatory Theory	204
[B] "Public Benefit" Theory	205
[C] "Moral Forfeiture" Theory	205
[D] "Moral Rights" Theory	206
[E] "Superior Interest" (or "Lesser Harm") Theory	207
§ 17.03 Underlying Theories of "Excuse"	207
[A] Searching for an Explanatory Theory	207
[B] Deterrence Theory	208
[C] Causation Theory	208
[D] Character Theory	209
[E] "Free Choice" (or Personhood) Theory	210
§ 17.04 Justification Defenses and Mistake-of-Fact Claims	211
[A] General Rule	211
[B] Criticisms of the General Rule	211
[C] Defense of the General Rule	212
§ 17.05 Justification versus Excuse: Why Does It Matter?	213
[A] In General	213
[B] Sending Clear Moral Messages	213
[C] Providing Theoretical Consistency in the Criminal Law	214
[D] Accomplice Liability	214
[E] Third-Party Conduct	215
[F] Retroactivity	215
[G] Burden of Proof	216
Charter 10 Calf Defense	217
Chapter 18 · Self-Defense	217
§ 18.01 General Principles	217
[A] Overview [B] Elements of the Defense	217
	217
[C] The Necessity Component	218
[D] The Proportionality Component	218
[E] The "Reasonable Belief" Component	219
§ 18.02 Use of Deadly Force: Clarification of the General Principles	219
[A] "Deadly Force": Definition	220
[B] The "Non-Aggressor" Limitation	220
[1] Definition of "Aggressor"	220
[2] Removing the Status of "Aggressor"	221
[a] Deadly Aggressor	221
[b] Nondeadly Aggressor	222
[C] Necessity Requirement: The Special Issue of Retreat	223
[1] Explanation of the Issue	223
[2] Contrasting Approaches	223
[3] The "Castle" Exception to the Retreat Rule	225
[D] Nature of the Threat: "Imminent, Unlawful Deadly Force" [1] "Imminent"	226 226
iii Immineni	2.26

CONTENTS	xvii

[2] "Unlawful Force"	228
§ 18.03 Deadly Force: "Imperfect" Self-Defense Claims	229
§ 18.04 Deadly Force in Self-Protection: Rationale for the Defense	229
[A] Self-Defense as an Excuse	229
[B] Self-Defense as a Justification	230
[1] Utilitarian Explanations	230
[2] Non-Utilitarian Explanations	231
§ 18.05 Self-Defense: Special Issues	232
[A] The Reasonable-Belief Standard: More Reflections about the	
"Reasonable Person"	232
[1] Issue Overview	232
[2] The Law	235
[B] Battered Women and "Battered Woman Syndrome"	236
[1] Self-Defense Issue Overview	236
[2] Jury Instructions on Self-Defense	238
[3] Evidentiary Issues	238
[a] Prior Abuse by the Decedent	238
[b] Expert Testimony Regarding Battered Woman Syndrome	239
[4] Nonconfrontational Battered Woman Self-Defense? Some Reflections	241
[C] Risk to Innocent Bystanders	243
[D] Resisting an Unlawful Arrest	244
§ 18.06 Model Penal Code	245
[A] General Rules	245
[1] Force, in General	245
[a] Permissible Use	245
[b] Impermissible Use: Resisting an Unlawful Arrest	246246
[2] Deadly Force, in General	246
[a] "Deadly Force": Definition [b] Permissible Use	246
[c] Impermissible Use	247
[i] Deadly Force by Aggressors	247
[ii] Retreat	247
[iii] Summarizing the MPC Deadly-Force Rules	248
[B] Mistake-of-Fact Claims and Model Penal Code Justification Defenses	248
[C] Justification Defenses and Risks to Innocent Bystanders	249
[O] Justification Detented and Risks to inflocent Dystanders	217
Chapter 19 · Defense of Others	251
§ 19.01 General Rule	251
§ 19.02 Model Penal Code	252
Chapter 20 · Defense of Property and Habitation	255
§ 20.01 Property and Habitation: Comparison and Contrast	255
§ 20.02 Defense of Property	255
[A] General Rule	255
[B] Clarification of the Rule	256
[1] Possession versus Title to Property	256
[2] Necessity for the Use of Force	256
[3] Deadly Force	256
[4] Threat to Use Deadly Force	257
[5] Claim of Right	257

xviii CONTENTS

[6] Recapture of Property	258
\$20.03 Defense of Habitation	258
[A] Rationale of the Defense	258
[B] Rules Regarding Use of Deadly Force	259
[1] Early Common Law Rule	259
[2] "Middle" Approach	259
[3] "Narrow" Approach	260
[C] Looking at the Rules in Greater Depth	260
[1] May the Occupant Use Force After the Intruder Has Entered?	260
[2] Are the Differences in the Habitation Rules Significant?	261
[3] Relationship of the Defense to Other Defenses	261
[a] Self-Defense and Defense-of-Others	261
[b] Law Enforcement Defenses	262
\$20.04 Spring Guns	262
[A] The Issue	262
[B] Common Law Rule	263
\$20.05 Model Penal Code	264
[A] Permissible Use of Nondeadly Force	264
[1] Force to Protect Property	264
[2] Force to Recapture Property	264
[B] Impermissible Use of Nondeadly Force	265
[C] Use of Deadly Force	266
[1] In General	266
[a] Dispossession of a Dwelling	266
[b] Prevention of Serious Property Crimes	266
[2] Spring Guns	267
Chapter 21 · Law Enforcement Defenses	269
\$21.01 What Are the "Law Enforcement" Defenses?	269
§21.02 Restraint on Liberty in Law Enforcement: "Public Authority" Defense	270
[A] By Police Officers	270
[1] Common Law	270
[2] Constitutional Limits on the Common Law	270
[B] By Private Persons	271
\$21.03 Force Used in Law Enforcement: Common and Statutory Law	271
[A] Nondeadly Force	271
[B] Deadly Force	272
[1] Crime Prevention	272
[a] Broad Defense: Minority Rule	272
[b] Narrow Defense: Majority Rule	272
[2] Effectuation of an Arrest	273
[a] By Police Officers	273
[i] Early Common Law Rule	273
[ii] Modification of the Rule	273
[b] By Private Persons	273
\$21.04 Force Used in Law Enforcement: Constitutional Limits	274
[A] Background: The Controversy	274
[B] Constitutional Law	275
§ 21.05 Model Penal Code	277

CONTENTS	xix

[A] Authority to Arrest	277
[B] Crime Prevention	277
[1] Use of Force, In General	277
[2] Use of Deadly Force	278
[C] Effectuation of an Arrest	278
[1] Use of Force, In General	278
[2] Use of Deadly Force	279
·	
Chapter 22 · Necessity	281
§ 22.01 Basic Nature of the Defense	281
§ 22.02 General Rules	283
§ 22.03 Civil Disobedience	286
§ 22.04 "Necessity" as a Defense to Homicide	287
[A] The Issue	287
[B] Regina v. Dudley and Stephens	288
[C] What Does Dudley and Stephens Really Say?	290
[D] How Should Dudley and Stephens Have Been Decided?	291
§ 22.05 Model Penal Code	292
Chapter 23 · Duress	293
§ 23.01 General Principles	293
[A] Overview	293
[B] Elements of the Defense	293
[C] Duress: Justification or Excuse?	295
§ 23.02 Rationale of the Defense (as an Excuse)	296
[A] Utilitarian Arguments	296
[B] Retributive Arguments	297
§ 23.03 Distinguishing Duress from Necessity	298
§ 23.04 Duress as a Defense to Homicide	299
[A] General Rule	299
[B] Is the No-Defense Rule Sensible?	300
§ 23.05 Escape from Intolerable Prison Conditions	301
[A] The Issue	301
[B] The Law	302
[C] Necessity versus Duress	302
[1] The Conceptual Problem	302
[2] Why the Nature of the Defense Is Significant	303
[a] The Message of Acquittal	303
[b] Ability of the Defendant to Obtain Acquittal	303
[c] Liability of Those Who Assist in the Escape	303
[d] Liability of Those Who Resist the Escape	304
[3] Concluding Comments	304
§ 23.06 Situational Duress: Brief Observations	305
[A] The Simplest Case: Necessity as an Excuse	305
[B] Going Beyond Natural Threats	306
§23.07 Abuse Victims Under Duress	307
§ 23.08 Model Penal Code	308
[A] General Rule	308
[B] Comparison to the Common Law	309

xx CONTENTS

[1] In General	309
[2] Escape from Intolerable Prison Conditions	309
[3] "Situational Duress"	310
[4] Abuse Victims and the Nature of the "Person of	
Reasonable Firmness"	310
Chapter 24 · Intoxication	313
§24.01 Intoxication and the Criminal Law: An Overview	313
[A] "Intoxication": Definition	313
[B] Intoxication Law in Its Social and Historical Context	313
[C] Intoxication Cases: Issues to Consider	314
[D] Intoxication Claims: Relationship to Other Defenses	314
§ 24.02 Voluntary Intoxication: General Principles	315
[A] Definition of "Voluntary Intoxication"	315
[1] In General	315
[2] Alcoholism, Drug Addiction, and "Voluntary Intoxication"	316
[B] General Rules	316
[1] No Excuse	316
[2] When Voluntary Intoxication May Be Exculpatory	317
§24.03 Voluntary Intoxication: Mens Rea	317
[A] In General	317
[B] Traditional Common Law Rule	318
[1] Overview	318
[2] General-Intent Offenses	318
[3] Specific-Intent Offenses	319
[4] Criticism of the Traditional Approach	319
[a] Why Draw a Distinction?	319
[b] Should the Defense Be Abolished?	320
[C] Special Problem: Intoxication and Homicide	321
§24.04 Voluntary Intoxication: Voluntary Act	321
§24.05 Voluntary Intoxication: Insanity	322
[A] "Temporary" Insanity	322
[B] "Fixed" ("Settled") Insanity	323
§24.06 Involuntary Intoxication	324
[A] Definition	324
[B] General Rule	325
§24.07 Model Penal Code	325
[A] General Rule	325
[B] Negation of an Element of an Offense	326
[1] Mental State	326
[a] In General	326
[b] Exception to the Rule	326
[2] Voluntary Act	327
[C] Intoxication as an Affirmative Defense	327
Chapter 25 · Insanity	329
§ 25.01 Insanity: An Overview	329
§ 25.02 Insanity Defense: Procedural Context	330
[A] Competency to Stand Trial	330

CONTENT MEG	
CONTENTS	XX1

[1] General Rule	330
[2] Procedures for Determining Competency	331
[3] Effect of an Incompetency Finding	331
[B] Pre-Trial Assertion of the Insanity Plea	332
[C] Jury Verdicts	332
[D] Bifurcated Trial	333
[E] Burden of Proof	334
§ 25.03 Rationale of the Insanity Defense	335
[A] Utilitarian Theory	335
[B] Retributive Theory	335
§ 25.04 Definitions of "Insanity"	336
[A] Putting the Insanity Tests in Historical and Legal Context	336
[B] "Mental Disease or Defect"	338
[1] In General	338
[2] Medical Definition of "Mental Disorder"	338
[3] Legal Definition of "Mental Disease or Defect"	338
[C] The Tests	339
[1] M'Naghten Test	339
[a] Rule	339
[i] "Know": Broad or Narrow?	339
[ii] "Nature and Quality of the Act"	340
[iii] "Right from Wrong"	340
[iv] The "Deific Decree" Doctrine	341
[b] Criticisms of the M'Naghten Rule	341
[2] "Irresistible Impulse" ("Control") Test	342
[a] Rule	342
[b] Criticisms of the Rule	343
[3] American Law Institute (Model Penal Code) Test	343
[a] Rule	343
[b] Criticisms of the Rule	344
[4] The Product Test	344
[a] Rule	344
[b] Criticisms of the Rule	345
[5] Federal Test	345
§ 25.05 Effect of an Insanity Acquittal	346
[A] Mental Illness Commitment Procedures	346
[1] Automatic Commitment	346
[2] Discretionary Commitment	347
[B] Release After Commitment for Mental Illness	347
[1] Criteria for Release	347
[2] Length of Confinement	347
[3] Release Procedures	348
[C] A Different Form of Commitment: Sexual Predator Laws	348
§ 25.06 Abolition of the Insanity Defense	349
[A] Abolitionist Arguments	349
[1] Abuse	349
[2] Counter-Deterrence	350
[3] Conflict of Perspectives	350
[4] "Mental Illness": Merely a Deviation from a Cultural Norm	351

xxii CONTENTS

[5] Equity	352
[B] The Constitutionality of Legislative Efforts to Abolish the Defense	352
§ 25.07 "Guilty but Mentally Ill"	353
Chapter 26 · Diminished Capacity	355
§ 26.01 "Diminished Capacity": A Term of Confusion	355
§ 26.02 Diminished Capacity: Mens Rea Defense	356
[A] Nature of the Defense	356
[B] Law	357
[1] Overview	357
[2] Defense-to-All-Crimes (Model Penal Code) Approach	357
[3] Limited-Use Approach	358
[4] No-Defense Approach	359
§26.03 Diminished Capacity: "Partial Responsibility" Defense	361
[A] Rule	361
[1] In General	361
[2] The Largely Discredited California Approach	361
[3] The Model Penal Code Approach	362
[B] Controversy Regarding the Defense	364
, , ,	
Chapter 27 · Attempt	367
§ 27.01 Criminal Attempts: An Overview to Inchoate Conduct	367
§ 27.02 General Principles	368
[A] Historical Background	368
[B] Definition of "Attempt"	368
[C] Punishment of Attempts	369
[D] Relationship of an Attempt to the Target Offense	369
[E] "Assault": "Attempt" in Different Clothing	370
[1] "Assault" versus "Attempt"	370
[2] Attempted Assault	370
[F] Inchoate Crimes in Disguise	371
§ 27.03 The Role of "Social Harm" in an Attempt: "Subjectivism" and	
"Objectivism"	372
§ 27.04 Punishing Attempts: Why, and How Much?	375
[A] Rationale for Punishing Attempts	375
[1] Utilitarian Analysis	375
[2] Retributive Analysis	375
[B] Less or Equal Punishment?	376
[1] Overview to the Issue	376
[2] Utilitarian Analysis	376
[3] Retributive Analysis	377
§ 27.05 Mens Rea of Criminal Attempts	378
[A] General Rule	378
[B] "Result" Crimes	378
[1] In General	378
[2] Rationale of Intent Requirement: Does It Make Sense?	379
[3] Special Homicide Problems	380
[a] Attempted Felony-Murder	380
[b] Attempted Manslaughter	381

CONTENTS	xxiii

[C] "Conduct" Crimes	381
[D] Attendant Circumstances	382
\$27.06 Actus Reus of Criminal Attempts	383
[A] Policy Context	383
[B] The Tests	384
[1] General Observations	384
[2] "Last Act" Test	385
[3] "Physical Proximity" Test	385
[4] "Dangerous Proximity" Test	386
[5] "Indispensable Element" Test	387
[6] "Probable Desistance" Test	387
[7] "Unequivocality" Test	388
\$27.07 Defense: Impossibility	389
[A] The Issue	389
[B] General Rule	390
[C] Factual Impossibility	391
[1] In General	391
[2] "Inherent" Factual Impossibility	392
[D] Legal Impossibility	392
[1] Introductory Comments	392
[2] Pure Legal Impossibility	393
[3] Hybrid Legal Impossibility	394
[a] In General	394
[b] Modern Approach: Abolition of the Defense	395
\$27.08 Defense: Abandonment	397
\$27.09 Model Penal Code	398
[A] Introductory Comments	398
[B] Criminal Attempt: In General	399
[1] Elements of the Offense	399
[2] Explaining Subsection (1)	399
[C] Mens Rea	400
[D] Actus Reus	400
[1] In General	400
[2] Attempt to Aid	401
[E] Defenses	402
[1] Impossibility	402
[a] Hybrid Legal Impossibility	402
[b] Pure Legal Impossibility	403
[2] Renunciation (Abandonment)	403
[F] Grading of Criminal Attempts and Other Inchoate Crimes	404
[1] In General	404
[2] Special Mitigation	405
Chantan 20 Calicitation	407
Chapter 28 · Solicitation	407
§ 28.01 General Principles	407 407
[A] Definition [1] In General	407
[1] In General [2] Mens Rea	407
[3] Actus Reus	407
[5] Alius Keus	400

xxiv CONTENTS

[B] Relationship of the Solicitor to the Solicited Party	408
[1] In General	408
[2] Use of an Innocent Instrumentality	409
[C] Relationship of a Solicitation to the Target Offense	409
[D] Policy Considerations	410
§28.02 Comparison of Solicitation to Other Inchoate Offenses	411
[A] Conspiracy	411
[B] Criminal Attempt	411
§28.03 Model Penal Code	412
[A] In General	412
[B] Defense: Renunciation	413
Chapter 29 · Conspiracy	415
§29.01 Conspiracy: In General	415
[A] Common Law	415
[B] Model Penal Code	416
§29.02 Punishing Conspiracies: Why?	417
[A] "Conspiracy" as an Inchoate Offense: Preventive Law Enforcement	417
[B] Special Dangers of Group Criminality	417
§29.03 Punishing Conspiracies: How Much?	418
[A] In General	418
[1] Common Law and Non-Model Penal Code Statutes	418
[2] Model Penal Code	418
[B] Punishment When the Target Offense Is Committed	419
[1] Common Law	419
[2] Model Penal Code	419
§ 29.04 Conspiracy: The Agreement	420
[A] In General	420
[B] Distinguishing the Agreement from the Group that Agrees	422
[C] Object of the Agreement	422
[D] Overt Act	423
[E] Model Penal Code	424
[1] In General	424
[2] Object of the Agreement	425
[3] Overt Act	425
§ 29.05 Conspiracy: Mens Rea	425
[A] In General	425
[B] Special Issues at Common Law	426
[1] "Purpose" versus "Knowledge": The Meaning of "Intent"	426
[2] Mens Rea Regarding Attendant Circumstances	427
[3] Corrupt-Motive Doctrine	429
[C] Model Penal Code Mens Rea Provisions	430
§ 29.06 "Plurality" Requirement	431
[A] Common Law	431
[B] Model Penal Code	433
§ 29.07 Parties to a Conspiracy	433
[A] The Issue	433
[1] Kotteakos v. United States	434
[2] Blumenthal v. United States	434
[3] United States v. Peoni	434

CONTENTS	XXV
----------	-----

[4] United States v. Bruno	434
[B] Why the Issue Matters	435
[1] Liability for Conspiracy	435
[2] Liability of Parties for Substantive Offenses	435
[3] Use of Hearsay Evidence	435
[4] Joint Trial	436
[5] Overt-Act Requirement	436
[6] Venue	436
[C] Structure of Conspiracies	437
[1] Wheel Conspiracies	437
[2] Chain Conspiracies	437
[3] Chain-Wheel Conspiracies	438
[D] Common Law Analysis	438
[1] In General	438
[2] Wheel Conspiracies	438
[3] Chain Conspiracies	439
[4] Chain-Wheel Conspiracies	439
[E] Model Penal Code	440
[1] Relevant Provisions	440
[2] Example of the Code Approach: <i>United States v. Bruno</i>	440
\$29.08 Objectives of a Conspiracy	441
[A] The Issue	441
[B] Common Law Analysis	442
[C] Model Penal Code	442
\$29.09 Defenses	443
[A] Impossibility	443
[1] Common Law	443
[2] Model Penal Code	443
[B] Abandonment (or "Withdrawal")	444
[1] Common Law	444
[2] Model Penal Code	444
[C] Wharton's Rule	445
[1] Common Law	445
[a] In General	445
[b] Exceptions to the Rule	446
[2] Model Penal Code	446
[D] Legislative-Exemption Rule	447
[1] Common Law	447
[2] Model Penal Code	448
[2] Model Fellar Gode	110
Chapter 30 · Liability for the Acts of Others: Complicity	449
§ 30.01 Complicity: Overview to Accomplice and Conspiratorial Liability	449
§ 30.02 Accomplice Liability: General Principles	450
[A] General Rules	450
[1] Definition of an "Accomplice"	450
[2] Criminal Responsibility of an Accomplice: Derivative Liability	450
[B] Theoretical Foundations of Accomplice Liability	451
§ 30.03 Accomplice Liability: Common Law Terminology	452
[A] Parties to a Felony	452
[1] General Comments	452
[1] General Comments	104

xxvi CONTENTS

[2] Principal in the First Degree	452
[a] In General	452
[b] Innocent-Instrumentality Rule	452
[i] In General	452
[ii] Difficulty in Application of the Rule: "Nonproxyable"	
Offenses	453
[3] Principal in the Second Degree	454
[4] Accessory Before the Fact	454
[5] Accessory After the Fact	455
[B] Principals versus Accessories: Procedural Significance	455
[1] General Comments	455
[2] Jurisdiction	456
[3] Rules of Pleading	456
[4] Timing of the Trial of Accessories	456
[5] Effect of the Acquittal of a Principal	456
[6] Degree of Guilt of the Parties	457
§ 30.04 Accomplice Liability: Assistance	457
[A] Types of Assistance	457
[1] In General	457
[2] Physical Conduct	457
[3] Psychological Influence	457
[4] Assistance by Omission	458
[B] Amount of Assistance Required	459
[1] In General	459
[2] Accomplice Liability and the Doctrine of Causation	459
[a] The Law	459
[b] Criticism of the Law	460
§ 30.05 Accomplice Liability: Mens Rea	461
[A] In General	461
[B] Significant Mens Rea Issues	462
[1] The Feigning Accomplice	462
[2] "Purpose" versus "Knowledge": The Meaning of "Intent"	463
[3] Liability for Crimes of Recklessness and Negligence	465
[4] Attendant Circumstances	466
[5] Natural-and-Probable-Consequences Doctrine	467
§ 30.06 Liability of the Secondary Party in Relation to the Primary Party	468
[A] General Principles	468
[B] Liability When the Primary Party Is Acquitted	469
[1] "Primary Party" as an Innocent Instrumentality	469
[2] Acquittal on the Basis of a Defense	470
[a] Justification Defenses	470
[b] Excuse Defenses	470
[3] Acquittal on the Basis of Lack of Mens Rea	471
[a] In General	471
[b] Special Problem: The Feigning Primary Party	472
[C] Liability of an Accomplice When the Primary Party Is Convicted	473
§ 30.07 Limits to Accomplice Liability	475
[A] Legislative-Exemption Rule	475
[B] Abandonment	475
§ 30.08 Conspiratorial Liability: The Pinkerton Doctrine	476

[A] "Accomplice" versus "Conspiratorial" Liability	476
[B] Rule of Conspiratorial Liability	477
[C] Comparison of Liability	478
§ 30.09 Model Penal Code	479
[A] Forms of Liability	479
[1] In General	479
[2] Accountability Through an Innocent Instrumentality	479
[3] Miscellaneous Accountability	479
[4] Accomplice Accountability	480
[5] Rejection of Conspiratorial Liability	480
[B] Nature of an "Accomplice"	480
[1] Conduct	480
[a] In General	480
[b] Accomplice Liability by Solicitation	480
[c] Accomplice Liability by Aiding	481
[d] Accomplice Liability by Agreeing to Aid	481
[e] Accomplice Liability by Attempting to Aid	481
[i] In General	481
[ii] The Relationship of § 2.06 (Complicity) to § 5.01 (Criminal	
Attempt)	482
[f] Accomplice Liability by Omission	482
[2] Mental State	483
[a] In General	483
[b] Liability for Crimes of Recklessness and Negligence	483
[c] Attendant Circumstances	484
[d] Natural-and-Probable-Consequences Doctrine	484
[C] Liability of the Accomplice in Relation to the Perpetrator	484
[D] Limits to Accomplice Liability	485
(2) 2 miles to 1100 mp not 2 money	100
Chapter 31 · Criminal Homicide	487
§31.01 Homicide	487
[A] Definition of "Homicide"	487
[B] Definition of "Human Being"	487
[1] The Beginning of Human Life	487
[2] The End of Human Life	488
[C] Year-and-a-Day Rule	489
§31.02 Criminal Homicide: General Principles	490
[A] "Murder" and "Manslaughter": Common Law Definitions	490
[B] Murder: Definition of "Malice Aforethought"	491
[1] "Aforethought"	491
[2] "Malice"	491
[C] Manslaughter: Types of "Unlawful Killings"	492
[D] Statutory Reformulation of Criminal Homicide Law	492
[1] In General	492
[2] The Division of Murder into Degrees ("Pennsylvania Model")	493
§31.03 Murder: Intent to Kill	494
[A] In General	494
[B] Proving the Intent to Kill	494
[1] In General	494
[a] Natural-and-Probable-Consequences Rule	494
[a] Ivaturar-and-1 robable-Consequences ivale	424

xxviii CONTENTS

[b] Deadly-Weapon Rule	495
[2] Constitutional Limitation	495
[C] "Willful, Deliberate, Premeditated" Killings	495
[1] Overview of the Issue	495
[2] "Deliberate"	497
[3] "Premeditated"	498
§ 31.04 Murder: Intent to Inflict Grievous Bodily Injury	499
§ 31.05 Murder: "Depraved Heart" ("Extreme Recklessness") Murder	500
[A] In General	500
[1] Terminology	500
[2] Facts Supporting a Finding of "Depraved Heart" Murder	501
[B] Distinguishing Murder from Manslaughter	502
§ 31.06 Murder: Felony-Murder Rule	503
[A] The Rule	503
[B] Rationale of the Rule	504
[1] Initial Observations	504
[2] Deterrence	504
[3] Reaffirming the Sanctity of Human Life	505
[4] Transferred Intent	506
[5] Easing the Prosecutor's Burden of Proof	506
[C] Limits on the Rule	507
[1] Inherently-Dangerous-Felony Limitation	507
[2] Independent Felony (or Merger) Limitation	508
[3] The Res Gestae Requirement	510
[a] Overview	510
[b] Time and Distance Requirements	511
[c] Causation Requirement	512
[4] Killing by a Non-Felon	513
[a] The Issue	513
[b] The "Agency" Approach	513
[c] The "Proximate Causation" Approach	514
[i] In General	514
[ii] Limited Version	514
[d] Distinguishing Felony-Murder from Other Theories	
(The "Provocative Act" Doctrine)	515
§ 31.07 Manslaughter: Provocation ("Sudden Heat Of Passion")	516
[A] In General	516
[B] Elements of the Defense	516
[1] State of Passion	516
[2] Adequate Provocation	517
[a] Early Common Law Categories	517
[b] Modern Law	518
[i] In General	518
[ii] The Nature of the "Reasonable Person"	520
[3] Cooling off Time	522
[4] Causal Connection	522
[C] Rationale of the Defense	523
[1] Partial Justification or Partial Excuse? Initial Inquiry	523
[2] Justification or Excuse: A Deeper Look	524
[a] The Argument for Provocation as a Partial Justification	524

CONTENTS	xxix
----------	------

[b] The Argument for Provocation as a Partial Excuse [3] Criticism of the Provocation Doctrine § 31.08 Manslaughter: Criminal Negligence § 31.09 Manslaughter: Unlawful-Act ("Misdemeanor-Manslaughter") Doctrine § 31.10 Criminal Homicide: Model Penal Code [A] In General [B] Murder [C] Manslaughter [1] In General [2] Reckless Homicide [3] Extreme Mental or Emotional Disturbance [a] In General [b] Comparison of Model Code to Common Law "Heat-of-Passion" [D] Negligent Homicide	525 525 527 528 528 529 530 530 531 531 532 533
Chapter 32 · Theft	535
§ 32.01 Theft: Historical Overview	535
§ 32.02 Larceny: General Principles	536
[A] Definition	536
[B] Grading of the Offense	537
§ 32.03 Larceny: Trespass	537
§ 32.04 Larceny: Taking (Caption)	538
[A] The Significance of "Possession"	538
[B] "Custody" versus "Possession"	539
[1] In General	539
[2] Temporary and Limited Use of Property	540
[3] Employers and Employees	540
[a] Employer to Employee	540
[b] Third Person to the Employee for the Employer	541
[4] Bailors and Bailees	541
[5] Fraud	542
§ 32.05 Larceny: Carrying Away (Asportation)	543
§ 32.06 Larceny: Personal Property of Another	544
[A] Personal Property	544
[1] Land and Attachments Thereto	544
[2] Animals	545
[3] Stolen Property and Contraband	545
[4] Intangible Personal Property [B] Of Another	545
	546 546
§ 32.07 Larceny: Intent to Steal (<i>Animus Furandi</i>) [A] Nature of the Felonious Intent	546
[1] In General	546
[2] Recklessly Depriving Another of Property Permanently	547
[B] Concurrence of Mens Rea and Actus Reus	548
[1] In General	548
[2] Continuing-Trespass Doctrine	548
[C] Claim of Right	549
[1] In General	549
[2] Forced Sale	549
§ 32.08 Larceny: Lost and Mislaid Property	550
y 22.00 Eurocity. Eoot und minima i roporty	550

XXX CONTENTS

§32.09 Embezzlement	551
[A] Historical Background	551
[B] Elements of the Offense	552
[C] Distinguishing Larceny from Embezzlement	552
\$32.10 False Pretenses	553
[A] In General	553
[B] Distinguishing False Pretenses from Larceny and Embezzlem	
[C] Elements of the Offense	554
[1] False Representation	554
[2] Existing Fact	554
[a] Fact versus Opinion	554
[b] Fact versus Promise of Future Conduct	555
[3] Mens Rea	555
§ 32.11 Consolidation of Theft Offenses	556
Chapter 33 · Rape (Sexual Assault)	559
§ 33.01 Rape: General Principles	559
[A] Definition: Common Law	559
[B] Statutes: Traditional and Reform	560
[C] Grading of the Offense	561
§ 33.02 Statistics Regarding Rape	561
§ 33.03 Societal Attitudes Regarding Rape	563
[A] Why Is Rape a Crime?: Social Harm of Rape	563
[1] The Original Perspective	563
[2] Modern Perspective	563
[B] Perceptions of the Seriousness of the Offense	565
[1] In General	565
[2] Blaming the Victim	565
[3] Victim's Relationship to Rapist	566
§ 33.04 Rape: Actus Reus	566
[A] In General	566
[B] Forcible Rape	567
[1] Traditional Law	567
[a] Overview	567
[b] Lack of Consent: Issues to Consider	567
[c] Force and Resistance	569
[d] Threat of Force versus Fear of Force	570
[e] Cases Applying the Traditional Doctrine	570
[2] The Law in Transition	572
[a] Resistance Requirement	572
[b] "Force": Changing Its Definition (or Abolishing the	
Requirement)	573
[C] Fraud: Rape by Deception Rather Than Force	576
[D] Obtaining Consent from a Vulnerable Person: Matters of Me	
Incapacity or Intoxication	578
§ 33.05 Rape: Mens Rea	579
§ 33.06 Marital Immunity Rule	581
[A] The Immunity and Its Rationales	581
[1] Rule	581
[2] Rationales	581

CONTENTS	xxxi
[a] Consent/Property Rationale	581
[b] Protection of the Marriage	582
[c] Protection of the Husband in Divorce Proceedings	582
[d] Less Serious Harm	582
[B] Breakdown of the Rule	583
§33.07 Proving Rape at Trial	583
[A] Corroboration Rule and Cautionary Jury Instructions	583
[B] Rape-Shield Statutes	585
§33.08 Statutory Rape	587
§33.09 Model Penal Code	588
[A] The Original Sex Offense Provisions: In General	588
[B] Rape	588
[1] In General	588
[2] Comparison to Common Law	589
[C] Gross Sexual Imposition	590
[D] Proving a Sexual Offense	590
[E] The New MPC Sexual Offense Provisions	591
Table of Cases	593
Index	609

Frequently Cited Source

This text frequently cites to the Model Penal Code Commentaries, found in two volumes:

American Law Institute, Model Penal Code and Commentaries (Official Draft and Revised Comments) (Part I: General Provisions) (1985); and

American Law Institute, Model Penal Code and Commentaries (Official Draft and Revised Comment) (Part II: Definition of Specific Crimes) (1980).

* * *

These sources are cited in footnotes of this text by use of the shorthand "American Law Institute."

Preface to the Ninth Edition

This text is primarily designed for use by law students enrolled in a course in Criminal Law. It also has served successfully in undergraduate courses covering substantive criminal law. As well, based on comments I have received from practicing attorneys, judges, and scholars (and citations to this text in judicial opinions and scholarly works), this text should be helpful to *anyone* looking for a survey of American criminal law substance and theory. The text considers common law doctrine, statutory reform (with particular emphasis on the Model Penal Code), and constitutional law affecting the substantive criminal law. This edition has undergone *substantial* revisions and updating, far more than in prior editions.

I am gratified by the extremely favorable response *Understanding Criminal Law* has received over the years. Therefore, I have avoided the temptation to tinker unnecessarily. As before, I have included citations to new scholarship in the field, in the hope that users will look to some of these sources for additional insights into the various topics. I believe each chapter has been improved overall from the last edition.

Gender policy of the text. For most of Anglo-American legal history, men monopolized the critical roles in the system of criminal justice. With only a few exceptions, lawyers, judges, legislators, jurors, and criminals were men. The only place for a woman in the system was as a crime victim or onlooker. Such blatant inequality, of course, is less true today. Beginning with the first edition of this text I decided to make sure that it recognized the increasing importance of women in the law, as lawyers and judges and not simply as victims or onlookers. Therefore, when discussing hypothetical defendants and victims, as well as when writing in general terms about other parties in the legal system—e.g., lawyers, judges, and legislators—I have balanced the account between male and female parties. At random I decided that in odd-numbered chapters I would use the female pronoun; in the even-numbered chapters males get equal time. I diverge from this approach only when the gender policy would distort history (e.g., there were no female property-holders in sixteenth-century England), prove inaccurate as a principle of law, or confuse the reader. Although I received some criticism of this approach when the first edition was published, I am gratified that this approach is no longer viewed as particularly noteworthy. (And as a loving grandparent of a transgender child, I am quite sensitive to the fact that some persons may find the use of gender pronouns itself questionable. Nonetheless, I continue to use them, as I am not enamored of the singular pronoun "they.")

Acknowledgements. There are far too many people to name who have helped me to over the years to improve the text. For now, I wish to thank my Moritz Law School Research Assistants whose great work, both before and during the pandemic, improved this edition: Shea Daley (Moritz '22); Rachel Gurley (Moritz '21); Hayley Hammerstrom (Moritz '22); Brian Mashny (Moritz '21); Andrew Rebholz (Moritz '22); Gretchen Rutz (Moritz '19); and Brianne Schell (Moritz '21). Thank you all!